# ONAL DISTRICT OF THE CHARLEY & INECT

#### REGIONAL DISTRICT OF BULKLEY-NECHAKO

## COMMITTEE OF THE WHOLE AGENDA Thursday, June 10, 2021

I respectfully acknowledge that this meeting is being held on the traditional territories of the region's First Nations.

PAGE NO.		ACTION
	AGENDA- June 10, 2021	Approve
	Supplementary Agenda	Receive
	MINUTES	
2-5	Committee of the Whole Meeting Minutes - May 13, 2021	Approve
	DELEGATION	
	M'AKOLA DEVELOPMENT SERVICES Sandy Mackay, Housing Research & Policy Lead RE: Housing Needs Report Project	
	REPORTS	
6-49	Cheryl Anderson, Director of Corporate Services - Annual UBCM Convention – Minister/Ministry Staff Meetings	Direction
50-52	Cheryl Anderson, Director of Corporate Services - Annual UBCM Convention – BC Hydro Meeting	Direction
53-99	Cheryl Anderson, Director of Corporate Services - Forging the Path to Responsible Conduct in Your Local Government	Discussion/ Receive
	SUPPLEMENTARY AGENDA	
	NEW BUSINESS	
	<u>ADJOURNMENT</u>	

#### **REGIONAL DISTRICT OF BULKLEY-NECHAKO**

#### COMMITTEE OF THE WHOLE MEETING (VIRTUAL)

#### Thursday, May 13, 2021

PRESENT: Chair Gerry Thiessen

Directors Gladys Atrill

Shane Brienen
Mark Fisher
Dolores Funk
Tom Greenaway
Clint Lambert
Brad Layton
Linda McGuire
Bob Motion
Chris Newell
Mark Parker
Jerry Petersen

Michael Riis-Christianson

Sarrah Storey

Staff Curtis Helgesen, Chief Administrative Officer

Cheryl Anderson, Director of Corporate Services

Jason Berlin, Chief Building Inspector – left at 12:45 p.m. Alex Eriksen, Director of Environmental Services – left at 12:05

p.m.

John Illes, Chief Financial Officer

Jason Llewellyn, Director of Planning – left at 12:45 p.m.

Sashka Macievich, Financial Controller Wendy Wainwright, Executive Assistant

Other Gaëtane Carignan, Community Energy Manager, Community

Energy Association - left at 12:45 p.m.

**CALL TO ORDER** Chair Thiessen called the meeting to order at 11:53 a.m.

AGENDA Moved by Director Atrill

Seconded by Director McGuire

<u>C.W.2021-5-1</u> "That the Agenda of the Committee of the Whole meeting of May

13, 2021 be approved."

(All/Directors/Majority) CARRIED UNANIMOUSLY

**MINUTES** 

<u>Committee of the Whole</u> Moved by Director Lambert <u>Minutes – April 8, 2021</u> Seconded by Director McGuire

C.W.2021-5-2 "That the Committee of the Whole meeting minutes of April 8,

2021 be adopted."

(All/Directors/Majority) CARRIED UNANIMOUSLY

Committee of the Whole Minutes May 13, 2021 Page 2

#### **DELEGATION**

#### <u>COMMUNITY ENERGY ASSOCIATION – Gaëtane Carignan, Community Energy Manager</u> RE: BC Energy Step Code

Chair Thiessen welcomed Gaëtane Carignan, Community Energy Manager, Community Energy Association.

Ms. Carignan provided a PowerPoint Presentation.

Community Energy Association (CEA)

- Indigenous Recognition
- Presentation Overview
- Building a Legacy Initiative
- Introduction to Step Code Building A Legacy
- The Performance Pathway
- Preparing for Building Code Changes
- Current Utilities Incentives
- Implementation Support
- Resources and Training
- Case Study: Hideaways Development, Invermere.

Ms. Carignan spoke of Step Code Solutions for Northern BC meeting taking place May 19, 2021. The meeting is a collaboration of CEA and CHBA (Canadian Home Builder's Association) North with funding from BC Hydro.

Discussion took place regarding:

- Appreciation of CEA in recognizing that Northern BC has different considerations in regard to building in comparison to Southern BC
- Log homes
  - BC Energy Step Code as entire envelope
  - Alternatives to finding ways to meet the Step Code
- Challenges of airtight structures
  - o Intentional air tight structures
- Energy Advisor's in northern B.C.
  - Lack of capacity
  - o Requirements to be a qualified Energy Advisor
  - Some can be completed remotely
  - Costs of hiring an Energy Advisory
    - Optimizing design and efficiencies
- Northern representation on the Community Energy Association
- Alternative options eg biodigestor's
- Concerns of small rural northern B.C. communities
  - Increased regulations impacts the ability for new builds and retrofits of older buildings
  - o Concerns with the lack of capacity to implement the BC Energy Step Code
  - Lack of Energy Advisors
  - Costs associated with becoming an Energy Advisor
  - Costs to purchase required equipment
- Scales of economies in regard to housing
- Example in Kootenays Energy Advisor started with 1-2 homes and also did retrofits as well as travelled to various small communities
  - o Private sector came forward
- Impacts to First Nations communities
- BC Energy Step Code implementation deadline of 2022

Committee of the Whole Minutes May 13, 2021 Page 3

#### **DELEGATION (CONT'D)**

#### COMMUNITY ENERGY ASSOCIATION – Gaëtane Carignan, Community Energy Manager RE: BC Energy Step Code (Cont'd)

- A blanket policy for the Province doesn't address housing in northern BC
- North Central Local Government Association meeting with the Honourable David Eby, Attorney General and Minister Responsible for Housing in regard to concerns of small rural communities within NCLGA region
- Support from utilities companies
  - Support from BC Hydro and Fortis
    - PNG (Pacific Natural Gas) in discussion with CEA
- Citizen education
- Changes to the BC Building Code applies to the entire Province
  - o In areas with no Building Inspection no enforcement.
- CEA providing messaging to the Province in regard to testing and advisors.

Chair Thiessen thanked Ms. Carignan for attending the meeting.

#### REPORTS

Chinook Community
Foundation NDIT Matching
Funds Application

Moved by Director Riis-Christianson Seconded by Director Lambert

C.W.2021-5-3

"That the Committee of the Whole recommend that the Board support the Grant Application from the Chinook Community Society to Northern Development's Community Foundation Matching Grant program for \$30,000 with the communities to be identified with the grant application as Area "B" and Area "E"."

(All/Directors/Majority)

CARRIED UNANIMOUSLY

Discussion took place regarding the funding available to Rural Directors and having the Regional Business Liaison attend a future Rural/Agriculture Committee Meeting.

Audit Planning and Update

Moved by Director Brienen Seconded by Director Lambert

C.W.2021-5-4

"That the Committee of the Whole receive the Chief Financial

Officer's memoranda: -Audit Planning and Update

-Operational Reserve Update 2021."

(All/Directors/Majority)

CARRIED UNANIMOUSLY

Discussion took place regarding revenue from hazardous waste received at Clearview Landfill. Staff will provide further

information.

Committee of the Whole Minutes May 13, 2021 Page 4

#### **CORRESPONDENCE**

Moved by Director Petersen Correspondence

Seconded by Director Funk

"That the Committee of the Whole receive the following C.W.2021-5-5

Correspondence:

- Ministry of Forests, Lands, Natural Resource Operations and Rural Development - Nechako First Nations and Health of the

Nechako River

- Union of BC Municipalities – Provincial Response to 2020

Resolutions."

(All/Directors/Majority) CARRIED UNANIMOUSLY

<u>ADJOURNMENT</u> Moved by Director Brienen

Seconded by Director Lambert

"That the meeting be adjourned at 12:53 p.m." C.W.2021-5-6

> (All/Directors/Majority) **CARRIED UNANIMOUSLY**

Gerry Thiessen, Chair Wendy Wainwright, Executive Assistant



## Regional District of Bulkley-Nechako Memo

TO: Chair Thiessen and Committee of the Whole

FROM: Cheryl Anderson, Director of Corporate Services

**DATE:** June 10, 2021

SUBJECT: ANNUAL UBCM CONVENTION

- Minister/Ministry Staff Meetings

#### RECOMMENDATION

(ALL/DIRECTORS/MAJORITY)

Direction.

#### **BACKGROUND**

This year's UBCM Convention will be held virtually from September 13-17, 2021.

Provincial Government meetings will be held September 7-13, 2021, the week prior to the Union of BC Municipalities Convention. The deadline to request meetings with Premier Horgan and Provincial Cabinet Ministers is June 30, 2021. The deadline to requests meetings with ministry staff is August 13, 2021.

At this time, staff is requesting direction on which meetings to request and prepare for.

#### Attachments:

- 1. Letter from the Office of the Premier
- 2. Letter from the Office of the Minister of Municipal Affairs
- 3. 2021 Provincial Appointment Book



May 25, 2021

Dear Mayors and Regional District Chairs:

My caucus colleagues and I are looking forward to connecting with you all again at this year's Union of British Columbia Municipalities (UBCM) Convention. As was done last year, both the Convention and the provincial government meetings will be held virtually.

UBCM provides a wonderful opportunity to listen to one another, share ideas, and find new approaches to ensure our communities thrive, particularly as we focus on recovering from the impact of COVID-19. With local, provincial, federal, and First Nations governments working together, we can continue to build a better BC. The 2021 Convention has been scheduled for September 14-17, 2021.

The week prior, from September 7-13, 2021, provincial government meetings will be held. To request a meeting with me or one of my Cabinet colleagues, please register online at <a href="https://ubcmreg.gov.bc.ca/">https://ubcmreg.gov.bc.ca/</a> (live, as of today). Please note that this year's invitation code is <a href="MeetingRequest2021">MeetingRequest2021</a> and it is case sensitive. The deadline to submit your meeting requests is June 30, 2021. If you have any questions, please contact <a href="https://ubcmreg.gov.bc.ca">UBCM.Meetings@gov.bc.ca</a> or phone 250-213-3856.

I look forward to once again being part of your convention, meeting with many of you, and exploring ways that we can partner together to address common issues.

Sincerely,

John Horgan

Premier

Horgan



May 25, 2021

Ref: 266944

Dear Mayors and Regional District Chairs:

It is my pleasure to write to you as the Minister of Municipal Affairs regarding the process for requesting a meeting with me, or with ministry, agency, commission and corporation (MACC) staff, during the upcoming 2021 UBCM Convention.

The UBCM Convention will again be held virtually and in an abbreviated format from September 14 to 17, 2021. Provincial government meetings will also be held virtually and will occur the week before Convention, from September 7 to 13, 2021, to allow delegates to fully focus and participate during the shortened Convention program.

You will receive a separate letter from the Honourable John Horgan, Premier, containing information about the online process for requesting a meeting with the Premier or other Cabinet Ministers.

If you would like to meet with me the week before Convention, please complete the online request form at: MUNI Minister's Meeting and submit it to the Ministry of Municipal Affairs before June 30, 2021. Meeting times and dates will be confirmed by mid-August. I will do my best to accommodate as many meeting requests as possible. If I am unable to meet with you, arrangements may be made for a meeting post-Convention.

To get the most out of your delegation's meeting with me, it continues to be helpful for you to provide as much detail as possible in the online form on the topic you wish to discuss. Providing this information in advance gives me a better understanding of your delegation's interests and our discussion can be more productive.

Regarding provincial staff meetings, ministry staff will email you shortly with the Provincial Appointment Book. This document lists all MACC staff expected to be available to meet with delegates around Convention time, as well as details on how to submit an online MACC staff meeting request.

This year's UBCM Convention will be my first as Minister responsible for local government, but certainly not my first Convention. After serving as Chair and Vice-Chair of the Alberni-Clayoquot Regional District and seven years as Mayor of Tofino, I am thrilled to be able to continue connecting with each of you in my new role. Through the regional calls we have had over the last several months, I have had the chance to hear about some of the challenges you face, especially related to COVID-19, as well as many of your accomplishments.

.../2

Fax:

Mayors and Regional District Chairs Page 2

I look forward to more of these conversations at Convention and to hearing your feedback on how we can continue to work together and collaborate to build stronger and resilient communities.

Sincerely,

Josie Osborne Minister

pc: Honourable John Horgan, Premier

Brian Frenkel, President, Union of BC Municipalities

## 2021 PROVINCIAL **APPOINTMENT BOOK**

#### **Meeting Requests with:**

The Premier and Cabinet Ministers and **Provincial Government Staff from Ministries, Agencies, Commissions and Corporations (MACC)** 

Will be scheduled the week prior to 2021 UBCM CONVENTION September 7 – 13, 2021

**Via Conference Call** 





#### **TABLE OF CONTENTS**

INTRODUCTION	
MEETING REQUEST INFORMATION AND LINKS	з
PROVINCIAL GOVERNMENT MINISTRIES AVAILABLE DURING THE 2021 UBCM	
CONVENTION	4
MINISTRY OF ADVANCED EDUCATION AND SKILLS TRAINING	4
MINISTRY OF AGRICULTURE, FOOD AND FISHERIES	
MINISTRY OF ATTORNEY GENERAL AND MINISTER RESPONSIBLE FOR HOUSING	
MINISTRY OF CHILDREN AND FAMILY DEVELOPMENT	
MINISTRY OF CITIZENS' SERVICES.	
MINISTRY OF EDUCATION	
MINISTRY OF ENERGY, MINES AND LOW CARBON INNOVATION	
MINISTRY OF ENVIRONMENT AND CLIMATE CHANGE STRATEGY	
MINISTRY OF FINANCE	
MINISTRY OF FORESTS, LANDS, NATURAL RESOURCE OPERATIONS AND RURAL DEVELOPMENT.	
MINISTRY OF HEALTH	
MINISTRY OF INDIGENOUS RELATIONS AND RECONCILIATION	
MINISTRY OF JOBS, ECONOMIC RECOVERY AND INNOVATION	
MINISTRY OF LABOUR	
MINISTRY OF MENTAL HEALTH AND ADDICTIONS	
MINISTRY OF MUNICIPAL AFFAIRS	27
MINISTRY OF PUBLIC SAFETY AND SOLICITOR GENERAL	20
MINISTRY OF SOCIAL DEVELOPMENT AND POVERTY REDUCTION	
MINISTRY OF TOURISM, ARTS, CULTURE AND SPORT	
MINISTRY OF TRANSPORTATION AND INFRASTRUCTURE	
PROVINCIAL AGENCIES, COMMISSIONS AND CORPORATIONS AVAILABLE DURING T	
UBCM 2021 CONVENTION	35

#### **INTRODUCTION**

This Provincial Appointment Book will provide UBCM local government and First Nations members directions on how to request a meeting with the Premier, Cabinet Ministers and provincial ministries, agencies, commissions and corporations (MACC) program staff, to be scheduled the week prior to 2021 UBCM Convention.

Within this document are three individual links to meeting request forms for meetings with:

- 1. Premier and Cabinet Ministers;
- Host Minister Josie Osborne, Municipal Affairs and responsible for local governments; and
- 3. Provincial Ministries, Agencies, Commissions and Corporations staff (MACCs).

Information on the MACCs available to meet is also included.

All activities are taking place in a virtual format for the 2021 UBCM Convention.

All meetings for the 2021 UBCM Convention will take place by conference call. Conference call details will be provided when meetings are confirmed.

#### **Meeting Dates**

Meetings with the Premier and Cabinet Ministers including the Minister of Municipal Affairs will take place by conference call during the following dates:

> Tuesday, September 7, 2021 to Monday, September 13, 2021

Meetings with MACC staff will take place by conference call during the following dates:

to
Thursday, September 9, 2021

#### **Provincial Appointment Desk**

If you have any questions or need assistance regarding your meeting request, contact:

#### Eri Moriya

MACC Staff Meeting Coordinator **Phone:** 778 698-1686

#### **Katie Carrothers**

MUNI Minister's Meeting Coordinator

Phone: 236 478-0537

#### **Email:**

MUNI.UBCM.MeetingRequest@gov.bc.ca

#### **MEETING REQUEST INFORMATION AND LINKS**

## Honourable John Horgan, Premier and Cabinet Ministers

(except Minister of Municipal Affairs)

Click: <a href="https://UBCMreg.gov.bc.ca">https://UBCMreg.gov.bc.ca</a>

**Invitation Code: MeetingRequest2021** 

(case sensitive)

Deadline: Wednesday, June 30, 2021

#### **Questions:**

Contact the Premier's UBCM Meeting Request Coordinator, Marlene Behrens by email at: UBCM.Meetings@gov.bc.ca,

or by telephone at: 250 213-3856

#### Honourable Josie Osborne, Minister of Municipal Affairs

**Click:** Municipal Affairs Minister's Meeting

Requests

Deadline: Wednesday, June 30, 2021

#### **Questions:**

Contact the MUNI Minister's Meeting Coordinator, Katie Carrothers by email at: MUNI.UBCM.MeetingRequests@gov.bc.ca,

or by telephone at: 236 478-0537

## Provincial Government (MACC) Staff

(Ministries, Agencies, Commissions and Corporations)

**Click:** MACC Staff Meeting Requests

Deadline: Friday, August 13, 2021

#### **Questions:**

Contact the MACC Staff Meeting Coordinator, Eri Moriya, by email at: MUNI.UBCM.MeetingRequests@gov.bc.ca,

or by telephone at: 778 698-1686

Once meetings are scheduled, confirmation will be sent to UBCM local government and First Nations members.

## PROVINCIAL GOVERNMENT MINISTRIES AVAILABLE DURING THE 2021 UBCM CONVENTION

#### MINISTRY OF ADVANCED EDUCATION AND SKILLS TRAINING

DIVISION/BRANCH	TOPIC
Finance, Technology and Management Services and Division Responsible for Student Housing	<ul> <li>Operating and capital grants to 25 PSIs, FTE and PSI financial health monitoring and reporting, Ministry's 10-year capital plan, PSI property acquisition and disposition, manage Ministry budget, maintain Ministry IT systems and digital information security, Administrative Service Delivery Transformation Initiative, lead Ministry's business continuity and emergency response readiness with PSIs. Leading the development of 5000 additional student housing beds on Post-Secondary campuses in B.C.</li> </ul>
Governance, Legislation and Corporate Planning Division	<ul> <li>Post-secondary governance, legislation, degree quality assurance, private career training regulation, data and analytical support, audit, institutional accountability, corporate planning, international education, intergovernmental relations, sector labour relations and bargaining.</li> </ul>
Post-Secondary Policy and Programs and Division Responsible for Learner Supports	<ul> <li>25 public post-secondary institutions (PSIs) and their programs including: Indigenous Education, Adult Education, StudentAid BC including the BC Access Grant, Science, Technology, Engineering and Math (STEM) programs, health and medical education, student mental health initiatives, and leading strategic policy/liaison function for the sector.</li> </ul>
Workforce Innovation and Division Responsible for Skills Training	<ul> <li>Development and management of targeted labour market programs and, policies, including the Community Workforce Response Grant and the BC Employer Training Grant, the dissemination of labour market information, and oversight of the Industry Training Authority to help British Columbians advance their skills and employment and support employers to meet their workforce needs.</li> </ul>

#### MINISTRY OF AGRICULTURE, FOOD AND FISHERIES

DIVISION/BRANCH	TOPIC
Business Risk Management Branch	<ul> <li>Helps producers manage risks such as weather hazards, natural disasters, wildlife, diseases, pests and market declines, that cause income losses and lead to financial instability. The Branch delivers three programs to help farmers manage financial risk: Production Insurance - offers insurance protection for agricultural crops against weather perils; Agri-Stability - protects farm enterprises from the financial impacts of significant margin declines which can be caused by increasing input costs or reduced agricultural revenues; and Wildlife Damage Compensation - compensates farmers for losses due to wildlife.</li> </ul>
Corporate, Policy and Priorities Branch	<ul> <li>Responsible for providing corporate planning, resources and services to the Executive and ministry including ministry data reporting and dissemination, policy, legislation, for agriculture and seafood. Also responsible for the ministry's emergency management planning and preparedness activities, develops ministry climate change policy and provides support and coordination on climate related issues.</li> </ul>
Extension and Support Services Branch	<ul> <li>Provides extension and support services to enhance sector growth, competitiveness, sustainability, and adaptability. Working with industry associations, local governments, academic partners and the farming community, the Branch leads environmental, climate change and traceability programming, supports agriculture planning, provides sector knowledge and technical expertise, facilitates emergency preparedness and response and supports industry to meet existing and emerging market and regulatory requirements.</li> </ul>
Fisheries, Aquaculture and Wild Salmon Branch	<ul> <li>Leads provincial efforts to support stable, diverse, and sustainable commercial and recreational marine fisheries, aquaculture operations and seafood processing that maximize social and economic benefits for British Columbians. Staff support the Minister, Parliamentary Secretary and Ministry Executive on provincial, national, and other relevant committees and working groups to develop and advance provincial policy and programs in support of government and industry initiatives on fisheries, seafood and wild salmon issues. This branch ensures that Provincial fisheries, aquaculture and seafood objectives are met by examining, interpreting and applying existing and new policies, and by providing leadership, design and implementation of seafood sector operational programs and projects.</li> </ul>

#### MINISTRY OF AGRICULTURE, FOOD AND FISHERIES CONTINUED...

#### Food and Beverage Branch Supports agriculture, food and beverage sector businesses and organizations through a wide variety of economic development and food system services and programs. Leads market, business, and value chain activities to support food and beverage producers, processors, Indigenous communities, and regional economies as well as key initiatives including Feed BC, Buy BC, and the BC Food Hub Network. Working closely with Ministry branches including Extension and Support Services Branch and other provincial and federal agencies, the branch helps activate and grow the next generation of agriculture and food leaders and Indigenous entrepreneurs, food and beverage production and processing capacity, and market access and diversification of B.C. products into distribution channels including retail, public sector institutions, and export markets. Leads numerous stakeholder partnerships, and policy work including trade and liquor production and processing. **Food Safety Inspection** • Responsible for administration, compliance and enforcement of **Branch** provincial legislation related to slaughter of meat, and food safety related to processing of seafood products, and inspection of farm worker accommodations for COVID protocol compliance. Also responsible for administration of food safety programs for farmers, ranchers and food processors under the federal/provincial/ territorial Canadian Agricultural Partnerships (CAP) agreement. Labour Unit • Works closely with the Ministry of Labour to improve food security and the safety of temporary foreign workers (TFWs) and domestic farm workers (DFWs) in farming communities. The Labour Unit is responsible for ministry oversight, coordination, and direction regarding all labour initiatives, including the agriculture TFW Quarantine Program. The Labour Unit is responsible for developing a comprehensive Agriculture Labour Strategy and action plan which includes all facets of agriculture labour supply, demand, training, accommodations, etc. focused on farm labour currently relying on TFWs and DFWs. The Labour Unit coordinates with other ministries, federal and local government representatives, and industry associations to support labour-related issues and inform future direction and action plans. Plant and Animal Health • Supports the sustainability of animal and plant agriculture, while **Branch** serving to protect the well-being of the people of the province through surveillance, regulatory compliance, risk assessment, and the development of strategies to address identified risks. The Plant and Animal Health Branch consists of three key programs: The Animal Health Centre, the Livestock Management and Regulatory Unit, and the Plant Health Unit.

#### MINISTRY OF AGRICULTURE, FOOD AND FISHERIES CONTINUED...

### Regenerative Agriculture and Agri-Tech Team

- Works in close collaboration with the Ministry of Jobs, Economic Recovery and Innovation in the delivery of strategies and programs to support innovation and use of technology in the agritech sector to increase food security and support the Ministry of Agriculture, Food and Fisheries' ongoing efforts to build a safe, sustainable and resilient food system.
- The team is responsible for leading industry engagement, liaison with other levels of government (federal, local, Agriculture Land Commission) and developing a comprehensive strategy to support emerging opportunities for agritech and regenerative agriculture and inform future direction and action plans.

## MINISTRY OF ATTORNEY GENERAL AND MINISTER RESPONSIBLE FOR HOUSING

DIVISION/BRANCH	TOPIC
Office of Housing and Co	nstruction Standards
Building and Safety Standards Branch	<ul> <li>Buildings, Construction, and Technical Systems: The regulatory framework for buildings and technical systems, including development of building, plumbing, fire, electrical, gas, elevator, and energy codes, safety standards for technical systems, homeowner protection (e.g., home warranties), oversight of certain industry professionals and trades (e.g., home builder licensing and mandatory building official qualifications), and policy advice relating to the built environment, including climate leadership and accessibility for new buildings. Liaison with BC Housing Licensing and Consumer Services, Technical Safety BC, Building Officials Association of BC, National Research Council and Accessibility Standards Canada.</li> <li>Technical requirements for existing buildings related to CleanBC, climate adaptation, and disaster mitigation (e.g. earthquakes, flooding, and wildfires).</li> <li>Nationally harmonized technical requirements to make new buildings more accessible.</li> </ul>
Homelessness Policy and Partnerships Branch	<ul> <li>Homelessness policy and program development such as Rent Banks, Homeless Counts, Integrated Data Project, coordinated encampment response. Leading engagement and facilitation across government with partners and stakeholders towards a more coordinated and effective approached to addressing homelessness as well as leading government's efforts to address homelessness by delivering a homelessness strategy.</li> </ul>

## MINISTRY OF ATTORNEY GENERAL AND MINISTER RESPONSIBLE FOR HOUSING CONTINUED...

Housing and Policy Branch	<ul> <li>Housing policy and program development, including market and non-market housing, supportive housing and homelessness; liaison with BC Housing, which partners with local government, non-profit and private developers to build affordable housing; legislation governing strata properties, as well as tracking actions in the Homes for BC: A 30-Point Plan For Housing Affordability. Long-term residential leases; Crown grants for housing.</li> </ul>
Multiculturalism and Anti- Racism Branch	<ul> <li>Race-based data legislation and new Anti-Racism Act,         Multiculturalism Grant program, Legacy Initiatives, Report on         Multiculturalism, Resilience BC anti-racism network and provincial         coordination on anti-racism and anti-hate initiatives; Multicultural         Advisory Council.</li> </ul>
Residential Tenancy Branch	<ul> <li>The regulatory framework for landlords and tenants, including conventional residential and manufactured home park tenancies; information services and disputes resolution for landlord and tenant disputes.</li> </ul>

#### MINISTRY OF CHILDREN AND FAMILY DEVELOPMENT

DIVISION/BRANCH	TOPIC
Child Care Division	<ul> <li>The Child Care Division leads the development and implementation of B.C.'s Childcare BC plan and has operational accountability for government's child care funding programs and registry of Early Childhood Educators.</li> <li>Childcare BC:         <ul> <li>New Spaces Fund</li> <li>UBCM Child Care New Spaces Grants</li> <li>UBCM Child Care Planning Grants</li> <li>BC Maintenance Fund</li> <li>Start-up Grants</li> <li>Child Care Fee Reduction Initiative</li> <li>Affordable Child Care Benefit</li> <li>Child Care Operating Funding</li> <li>Young Parent Program</li> <li>Early Childhood Educator (ECE) Wage Enhancement</li> <li>Funding for ECE Bursaries</li> <li>ECE Registry</li> <li>Aboriginal Head Start</li> <li>Aboriginal / Support Child Development funding/strategy</li> </ul> </li> </ul>

#### MINISTRY OF CHILDREN AND FAMILY DEVELOPMENT CONTINUED...

Service Delivery Division	<ul> <li>Service Delivery Division is responsible for delivering community services to children, youth and families across the province, including adoption and guardianship, child and youth mental health, children and youth with support needs (including specialized provincial services, Autism Information Services, and Provincial Deaf and Hard of Hearing Services), child protection and family services, resources, Services to Adults with Developmental Disabilities, youth justice and forensics, and supporting youth transitioning to adulthood.</li> <li>Our professional and support staff work closely with extended families, Delegated Aboriginal Agencies, First Nations, Métis and Inuit partners, foster caregivers, community social service agencies, service providers and ministry partners in pursuit of our ministry's vision.</li> </ul>
Strategic Integration, Policy and Legislation Division / Strategic Initiatives	<ul> <li>The Strategic Initiatives Branch is responsible for the development of strategic complex, large-scale and cross-divisional projects, including improving supports for youth and young adults transitioning from care, and prevention and family supports. The branch also leads the Service Frameworks for each of these areas.</li> </ul>
Strategic Integration, Policy and Legislation Division/ Strategic Integration, CYMH Policy and In-Care Network Branch	<ul> <li>The Strategic Integration, CYMH Policy and In-Care Network branch is responsible for identifying opportunities, risks, and system-wide challenges to cross-ministry integration of the Strategic Framework. Strategic Integration leads the research, development, and implementation of the In-Care Service Framework.</li> <li>Child and Youth Mental Health (CYMH) responsible for providing evidence-informed prevention and early intervention mental health resources for educators, caregivers and families, as well as developing strategic and operational policy to improve mental health outcomes for children, youth and their families.</li> </ul>
Strategic Integration, Policy and Legislation Division/Policy and Legislation	<ul> <li>The Policy &amp; Legislation Division (PLD) creates and implements strategic and operational policy, manages the ministry's legislation and litigation processes and research programs. Policy areas include child welfare, adoption, child and youth mental health, cross-divisional policy and quality assurance.</li> </ul>

#### MINISTRY OF CHILDREN AND FAMILY DEVELOPMENT CONTINUED...

Strategic Integration, Policy
and Legislation Division /
Strategic Services

• The Strategic large-scale, in the Mini
• Strategic report engages

- The Strategic Services Branch provides expertise to enable the large-scale, cross divisional and complex transformation envisioned in the Ministry's Strategic Framework. The branch leads:
  - Strategic planning and engagement directs planning, reporting, internal and web-based communications and staff engagement;
  - Project management and lean services supports strategic initiatives and continuous improvement; and
  - Implementation and change management champions organizational change and effective implementation.

#### **MINISTRY OF CITIZENS' SERVICES**

DIVISION/BRANCH	TOPIC
Government Digital Experience	<ul> <li>The Division executes quality public engagement on behalf of various ministries across government, designing services and policies with people affected by them.</li> <li>Provides technical infrastructure that enables gov.bc.ca and all of its pages, including COVID-19 and vaccination information.</li> <li>Creates standards to make government's web site accessible and ensure that the site is easy to navigate and read.</li> <li>The division also delivers digital policy advice and technology services to Government Communications and Public Engagement (GCPE) to support its day-to-day operations.</li> </ul>
Office of Chief Information Officer	• The OCIO supports the transformation of government services through integration, collaboration and innovation. It leads strategy, policy and standards that support digital government and information management including information technology, IT security, integrated data, privacy and the management of the Information Management/IT investment portfolio for the province. The OCIO provides leadership and expertise for the expansion, coordination and provisioning of telecommunications services, vendor management, innovation, and technology that enable the digital transformation of government work environments and communities in every corner of the province. The OCIO is responsible for the province's technology infrastructure and provides a range of corporate enablers to support digital service delivery and business transformation for government and Broader Public Sector organizations. It provides corporate information management services to government including: Freedom of Information; proactive disclosures of information; privacy, records management and elements of information security.

#### MINISTRY OF CITIZENS' SERVICES CONTINUED...

#### **OCIO Connectivity** NetworkBC provides guidance on planning for telecommunications infrastructure investment to municipal and regional governments and oversees the Connecting British Columbia program. Connected Communities supports local governments and regional districts by assisting with connectivity planning and helping communities to better understand how to achieve greater economic, environmental, public safety and social benefits enabled through high-speed internet. • Public Safety Broadband is a Canadian initiative led by the federal government to establish a secure, high-speed and mobile wireless communications network. The network can be used by first responders and public safety personnel to communicate, access and share information during day-to-day operations, weatherrelated incidents, natural disasters, emergencies and major events. **Procurement and Supply** • The Division plays a leadership role in government procurement and supply services. These activities serve the provincial government, the broader public sector, the public and, in some cases, municipalities. For example, municipalities use BC Bid to provide vendors with information on upcoming procurement operations and Asset Investment Recovery to dispose of municipal surplus assets in a convenient, environmentally friendly manner that returns a fair market value to the municipality. • Procurement Services Branch (PSB) is the lead contact for the Single Point of Access (SPA) initiative; a federal initiative in which all Municipalities, Advanced Education, Schools and Hospitals will be required to post all of their procurement activity on a Single Point of Contact web site so that we comply with the requirements of the Comprehensive Economic and Trade Agreement (CETA). Compliance by all provinces and Territories is required by Sept PSB is also the lead for coordinating access to the Federal National Memorandum of Understanding (NMUA) which grants access to **Provinces and Territories to numerous Corporate Supply** Agreements created by the Federal Government.

#### MINISTRY OF CITIZENS' SERVICES CONTINUED...

Real Property	<ul> <li>The Real Property Division provides everything needed to design, set up and manage a government workplace. RPD is responsible for the province's real estate portfolio (excluding schools, post-secondary institutions and hospitals), office space inventory, parking, related legislation, furniture procurement, project and construction management, and real estate services for special-purpose facilities (such as courthouses, laboratories and correctional facilities). RPD provides cost-effective services for environmental management, leasing, facilities management, strategic real estate advice, acquisitions, dispositions and workplace planning. RPD's client base includes ministry (mandated) as well as broader sector (voluntary) customers.</li> </ul>
Service BC	<ul> <li>Service BC is government's chief provider of services to B.C. residents and businesses. Through a provincial network of 65 service centres and the Provincial Contact Center, SBC provides approximately 300 government services on behalf of more than 40 partner ministries and agencies. The division enables the design and delivery of accessible, responsive, and cost-effective services, making it easier for residents and businesses to interact with government.</li> <li>Our BC Corporate Registries branch facilitates and supports commerce in the province through administration and verification of business, personal property, and manufactured home registrations. The Provincial Identity Information Management Program delivers trusted, secure identity service to support access to digital government services and information.</li> </ul>

#### **MINISTRY OF EDUCATION**

DIVISION/BRANCH	TOPIC
Capital Management Branch	<ul> <li>The Capital Management Branch establishes and administers the Ministry of Education's Capital Program, estimated at \$1 billion annually, and includes the following program areas: Annual Facilities Grant, Seismic Mitigation, New and Additional Schools, Replacement Schools, Routine Capital Investment, Building Envelope Program, Bus Replacement Program and the Carbon Neutral Capital Program. The Division establishes the Capital Objectives, the priorities for capital investment across the province through the ministry's Capital Planning process, establishes the Capital Standards, defines the scope of capital investments, establishes contractual relationship with school districts, enforces contractual requirements and processes payments.</li> </ul>

#### MINISTRY OF EDUCATION CONTINUED...

Resource	Management
Division	

 The Resource Management Division is responsible for the oversight and management of approximately \$7 billion in operating funding to the K-12 sector; the K-12 funding formula; and school district shared services initiatives. In addition, the division is responsible for the ministry's overall budget and financial oversight and a wide range of corporate services: financial services; strategic human resources; risk management; and planning/reporting.

#### MINISTRY OF ENERGY, MINES AND LOW CARBON INNOVATION

DIVISION/BRANCH	TOPIC
Electricity and Alternative Energy Division	<ul> <li>The Division is responsible for British Columbia's electricity and alternative energy sectors, including BC Hydro. These sectors are made up of diverse interests that develop electricity generation, transmission and distribution infrastructure, clean or renewable energy sources, including biomass, biogas, hydrogen, geothermal, hydro, solar, ocean, wind and low- carbon transportation fuels, and advance energy efficiency.</li> <li>The Division focuses on increasing electrification and energy efficiency across the economy, reducing the carbon intensity of transportation fuels, expanding electric vehicle infrastructure, and implementing programs to reduce energy use and greenhouse gas emissions in the residential, commercial and industrial sectors. In association with the Ministry of Environment and Climate Change Strategy, the Division has responsibility for policies, regulations and legislation to support legislated short and long-term, province-wide greenhouse gas reduction targets, including: Greenhouse Gas Reduction (Renewable and Low Carbon Fuel Requirements) Act, the Zero-Emission Vehicle Act, the Utilities Commission Act, and the Clean Energy Act.</li> <li>The Division is responsible for B.C.'s low-carbon energy market transformation, driving a range of actions to support all stages of clean energy development and adoption. The Division also administers the Innovative Clean Energy (ICE) Fund, a special account used to further the energy and environmental priorities of the government.</li> <li>Property Assessed Clean Energy (PACE).</li> </ul>
LNG Canada Implementation Secretariat	• This division is responsible for the effective implementation of LNG Canada and its associated pipeline, Coastal GasLink by liaising with federal, provincial, municipal governments, Indigenous Nations and the companies on key implementation issues management.

## MINISTRY OF ENERGY, MINES AND LOW CARBON INNOVATION CONTINUED...

#### Mines Competitiveness and Authorizations Division

 Responsible for managing authorizations, geoscience and policy for the mining cycle from early mineral exploration, development of major and regional mines, and mine closure and reclamation.
 Supports the competitiveness of B.C.'s mining sector helps position B.C. as an attractive jurisdiction for investment, providing a fair, effective and transparent authorizations, and focusing on regulatory certainty, Indigenous reconciliation and partnerships, world-class geoscience, innovation and leading environmental standards.

#### Mines Health, Safety and Enforcement Division

- The Division was created in 2019 and is responsible for mine worker health and safety, compliance verification and enforcement, and investigations of serious incidents at mines.
- Oversees the regulation of orphaned and abandoned mines.
- Manages the ongoing review of the Health, Safety and Reclamation Code for Mines in B.C.
- Oversees policy and Indigenous engagement in its core business areas.
- The Division includes the Chief Auditor, responsible for conducting audits for regulatory effectiveness.

### Strategic and Indigenous Affairs Division

- Supports mandate delivery of the Ministry of Energy, Mines and Low Carbon Innovation (EMLI) and EMLI's Divisions on its energy, mining and natural gas mandates.
- Leads implementation of the Environmental Stewardship Initiative (ESI), and the development of policy related to collaborative stewardship with Indigenous Nations, in partnership with other NR ministries.
- Supports EMLI efforts to advance reconciliation with Indigenous Nations including: supporting the negotiation of revenue sharing and accommodation agreements and Reconciliation Agreements, engaging with Indigenous Nations on strategic policy matters, and the delivery of the ESI with over 30 northern Indigenous Nations. This work is consistent with Government's objectives under the Declaration on the Rights of Indigenous Peoples Act and ensures that First Nations actively participate in natural resource development in their Traditional Territories.
- Corporate oversight and coordination of policies, intergovernmental relations and reporting requirements by SIAD to ensure that EMLI takes a strategic approach to the delivery of its programs and services.

## MINISTRY OF ENERGY, MINES AND LOW CARBON INNOVATION CONTINUED...

#### Oil and Gas Division • This Division is accountable for the management and responsible development of the province's oil and gas resources. This includes issuing and administrating Crown petroleum and natural gas subsurface tenures, as well as the revenues associated with those tenures; incenting infrastructure that supports resource development and contributes to lowering carbon intensity; undertaking analysis to develop and implement policies and programs, including the province's royalty regime. The Division is responsible for identifying, stimulating and facilitating development and market opportunities, such as development of the province's liquefied natural gas industry and other industries that add value to British Columbia's oil and gas resources. • The Division develops provincial statutes and regulations that apply to the oil and gas sector; and represents the province's interests before energy regulatory tribunals. This includes facilitating and leading the development and implementation of intra-provincial liquefied natural gas pipelines and related infrastructure and facilitating the development and implementation of interprovincial oil pipelines and related infrastructure projects. The Division develops and maintains petroleum geology databases. It assesses and collaborates across-government on environmental monitoring and research, as well as managing cumulative effects, guiding land use planning, oil and natural gas restoration programs and resource access. Woodfibre Implementation • Responsible for facilitating the development and implementation Group of the Woodfibre LNG facility by liaising with federal, provincial, municipal governments and First Nations. Providing a central point of contact for the proponent of Woodfibre LNG on regulatory and issues management.

#### MINISTRY OF ENVIRONMENT AND CLIMATE CHANGE STRATEGY

DIVISION/BRANCH	TOPIC
BC Parks	<ul> <li>Responsible for all matters (policy, planning and management) of conservation, recreation and cultural values in the province's parks and protected areas.</li> </ul>

## MINISTRY OF ENVIRONMENT AND CLIMATE CHANGE STRATEGY CONTINUED...

#### Climate Change Strategy Province-wide coordination and management with other ministries of systems to address and respond to climate change including: CleanBC, CleanBC Communities Fund, Property Assessed Clean Energy (PACE), CleanBC Industry Fund, CleanBC Industrial Incentive Program, B.C. Offset Program, Centre for Innovation and Clean Energy, Climate Preparedness and Adaptation Strategy, climate policy, energy and the Climate Action Charter commitments in association with Ministry of Attorney General and Ministry responsible for Housing and Ministry of Energy, Mines & Low Carbon Innovation, province-wide greenhouse gas reduction targets, Carbon Neutral Government (Public Sector Organizations schools, universities and colleges and hospitals), Climate Solutions Council, GHG Provincial Inventory, including the community GHG Inventory. Climate action pieces of legislation related to climate change including: Greenhouse Gas Industrial Reporting and Control Act, Climate Change Accountability Act (formerly called Greenhouse Gas Reduction Targets Act), Carbon Tax, Greenhouse Gas Reduction - Emissions Standards, Renewable and Low Carbon Fuel Requirements Act, Vehicle Emissions Standards Act, and Clean Energy Act. **Conservation Officer Service** A natural resource law enforcement agency responsible for enforcing federal and provincial statutes, public safety as it relates to human-wildlife conflict and interactions, commercial environmental and industrial investigations and compliance and enforcement activities. **Environmental Assessment** Environmental Assessment Act. Environmental assessment (EA) Office process. Federal EA Substitution. Relationship to federal environmental assessment and review processes, including Canada National Energy Regulator (CER). Compliance and enforcement of certified projects. Public consultation regarding EAS or EA certificate amendment applications. Implementation of the 2018 EA Act. COVID-19 impact and response.

## MINISTRY OF ENVIRONMENT AND CLIMATE CHANGE STRATEGY CONTINUED...

#### **Environmental Protection** • Air quality, reducing toxins, pollution prevention, environmental Division emergencies/provincial spill response, Environmental Management Act, contaminated sites, brownfields, hazardous and industrial waste, Integrated Pest Management Act, extended producer responsibility, recycling, Provincial Plastics Action Plan, organics infrastructure funding programs, Clean Coast Clean Waters fund, Recycled Plastics Manufacturing Stimulus Fund, bonding financial assurance, circular economy, waste management (incineration, landfilling, municipal liquid and solid waste), permitting and compliance reporting for industrial operations' emissions. **Environmental** • Species at Risk policy and legislation development; conservation Sustainability Division and sustainability of biodiversity; conservation science; Conservation Data Centre; species and ecosystem status assessments; conservation data and information; terrestrial ecosystem mapping; habitat supply modelling. Water Sustainability Act: development of water legislation, regulations, policy, standards and guidance; integrated watershed and aquifer science; water quality objectives development and policy; water governance framework; provincial water strategies; intergovernmental agreements; drought strategy; First Nations and stakeholder outreach on water legislation; policy for water conservation; source water protection; water quality monitoring; Lake Monitoring Program; groundwater hydrology; groundwater protection; monitoring and network management for surface water and groundwater quantity and quality. State of Environment Reporting; snow survey; ambient air quality; water stewardship outreach; environmental and natural resource sector laboratory (analytical chemistry); services and provincial laboratory quality assurance and standards; Natural Resource Sector, library services. Corporate Indigenous relations and partnership development. Information, Innovation NRM Transition to Digital Government, Implementation of IM/IT and Technology Initiatives, Product Portfolio Management, Application Development and Delivery, Business Service Desk, Application Maintenance and Support, Application Infrastructure & Database Services, Radio, Technology & Field Services, Information Management, Information Security and Privacy, Critical Infrastructure Support. Strategic Policy Division Overarching environmental policy and legislation; compliance planning; intergovernmental relations; and Service Plan.

#### **MINISTRY OF FINANCE**

DIVISION/BRANCH	TOPIC
Crown Agencies Secretariat	<ul> <li>The Crown Agencies Secretariat (CAS) is the branch within government responsible for a number of Crowns, Agencies or Organizations, including:         <ul> <li>BC Lottery Corporation, including BCLC's oversight of casinos and community gaming facilities; and</li> <li>Liquor Distribution Branch sales and policy, including BC Liquor Stores and provincially authorized legal cannabis retail.</li> </ul> </li> <li>CAS provides direct support to the Minister of Public Safety and Solicitor General as the lead branch responsible for the Insurance Corporation of BC.</li> <li>CAS is also lead for a number of cross-government efforts and stakeholder liaison functions, including leading:         <ul> <li>the Anti-Money Laundering Secretariat, and coordinating government's overall response to implement the Dr. German report recommendations; and</li> <li>coordination with the liquor and hospitality industry on implementation of the Business Technical Advisory Panel (BTAP) report recommendations.</li> </ul> </li> </ul>
Policy and Legislation Division /Intergovernmental Fiscal Relations	<ul> <li>Federal-provincial fiscal relations (e.g., intergovernmental transfers).</li> <li>Joint federal-provincial social policy priorities (e.g., income security and pensions).</li> <li>Local government fiscal relations, with Municipal Affairs.</li> <li>First Nations fiscal relations, with Indigenous Relations and Reconciliation.</li> <li>Cannabis revenue sharing.</li> </ul>
Policy & Legislation Division /Property Assessment Services	<ul> <li>Provincial property assessment policy and legislation as it pertains to valuation and classification, including valuation of restricted use properties, long-term business property tax relief and housing affordability.</li> </ul>
Policy & Legislation Division /Tax Policy Branch	<ul> <li>Provincial tax policy, including:         <ul> <li>Provincial property taxes (school, rural, police)</li> <li>Property Transfer Tax</li> <li>Speculation and Vacancy Tax</li> <li>Provincial Sales Tax</li> <li>Carbon Tax</li> <li>Motor Fuel Tax</li> <li>Provincial Income Tax</li> <li>Cannabis Tax</li> <li>Indigenous Taxation</li> </ul> </li> <li>Employer Health Tax.</li> </ul>

## MINISTRY OF FORESTS, LANDS, NATURAL RESOURCE OPERATIONS AND RURAL DEVELOPMENT

DIVISION/BRANCH	TOPIC
BC Timber Sales	<ul> <li>BC Timber Sales (BCTS) manages about 20 per cent of the province's allowable annual cut for Crown timber supporting the BC Timber Market Pricing System and generating economic prosperity for British Columbians through the safe, sustainable development and auction of Crown timber. BCTS operates in 33 communities and directly supports over 8,000 jobs across B.C.</li> </ul>
BC Wildfire Service	Wildfire Suppression, Wildfire Prevention and Community Resiliency Initiative.
Forest Policy and Indigenous Relations Division	<ul> <li>Economic Services, Trade and Export Policy, Timber Pricing, Compensation and Business Analysis, Coast and Interior Revitalization, Indigenous Relations.</li> </ul>
Integrated Resource Operations Division	<ul> <li>Archaeology; Compliance and Enforcement; GeoBC; Heritage; Mountain Resorts; Recreation Sites &amp; Trails.</li> </ul>
Lands and Natural Resource Operations Secretariat	<ul> <li>To determine whether land use objectives that support economic activity, environmental sustainability and reconciliation with Indigenous peoples throughout the natural resource sector can be more effectively achieved through ministry restructuring.</li> </ul>
Office of the Chief Forester	• The Office of the Chief Forester provides provincial leadership for forest management and stewardship. Activities that occur within the Office of the Chief Forester include: continuous improvement of policies, legislation and practices, acquisition and update of forest inventory, spatial analysis and reporting of timber and nontimber values, allowable annual cut determination, silviculture, seed supply, forest health, land based research, climate change, carbon management, integrated planning, forest genetic resource management, developing the bio economy and supporting the ministry dealing with intergovernmental affairs. All these activities are carried out to achieve sustainable management of B.C.'s forests and maintain a balance between a healthy environment and economic sustainability. This Division is comprised of the Office of the Chief Forester and five branches and one unit: Forest Analysis and Inventory Branch, Forest Improvement and Research Management Branch, Climate Change and Integrated Planning Branch and Resource Practices Branch and Innovation, Bioeconomy and Indigenous Opportunities and the Intergovernmental Affairs unit.

## MINISTRY OF FORESTS, LANDS, NATURAL RESOURCE OPERATIONS AND RURAL DEVELOPMENT CONTINUED...

Regional Operations	<ul> <li>FrontCounter BC, resource management coordination, land use policy projects and implementation, Crown land water, fish &amp; wildlife and forest authorizations, community forest agreements, species at risk program delivery, urban deer, clean energy projects, First Nations consultation, ecosystem-based management, range, BC Timber Sales, Interior forest sector renewal, flood and fire response and recovery. Range Branch: Invasive Species and Ecosystem Restoration.</li> </ul>
Resource Stewardship Division	• Fish and Aquatic Habitat; Resource Planning and Assessment; Species at Risk Recovery; Water Management; Wildlife and Habitat; Strategic Projects and Indigenous Policy; Provincial Stewardship Strategies and Planning. Includes land use planning, cumulative effects and the Forest and Range Evaluation program, integrated monitoring, policy that guides wildlife, fish and water management, land-based investment program, non-timber forest values, resource management objectives, species at risk recovery, fish and wildlife management, habitat management, water management, river forecasting, dam safety, flood safety, water use planning, utility regulation, water stewardship, old growth strategic review, land stewardship and protection policy.
Rural Opportunities, Tenures and Engineering Division	<ul> <li>Engineering, Resource Worker Safety, Lands, Forest Tenures, Crown Land Opportunities and Restoration and Rural Development.</li> </ul>

#### **MINISTRY OF HEALTH**

DIVISION/BRANCH	TOPIC
COVID Response and Health Emergency Management	<ul> <li>Ensure an ongoing, focused response to supporting the health system within the context of COVID-19.</li> <li>Coordinate the Ministry of Health and Health Sector's response to the pandemic.</li> <li>Continue to provide health system coordination for new emergency events and ongoing provincial level response planning for natural hazard and public health emergencies that may disrupt health service delivery.</li> </ul>

#### MINISTRY OF HEALTH CONTINUED...

Finance and Corporate Services	<ul> <li>Supports programs and health authorities by managing and ensuring a consistent approach to financial and corporate services planning, policy, performance oversight/reporting, and critical financial and corporate services issues management.</li> <li>Services provided include, Health Authority Regional Grants Decision Support, Finance and Decision Support, Capital Services Management, and Audit and Investigations.</li> </ul>
Health Sector Workforce and Beneficiary Services	<ul> <li>Responsible for operational delivery of beneficiary and diagnostic services and workforce strategies that contribute to effectively meeting patient and population health needs and improving patient outcomes through the efficient delivery of health services.</li> </ul>
Health Services	<ul> <li>Focuses on implementing specialized community and surgical services and programs, provincial health services, and regional hospital-based services, and provides provincial oversight of Medical Assistance in Dying (MAiD).</li> <li>Works towards service transformation across the health sector and streamlines bilateral efforts between the ministry and health authorities by bringing together key mandated initiatives.</li> <li>Community Care – seniors services, assisted living registry operations and oversight, mental health and substance use services.</li> <li>Work on access and wait times – surgical and diagnostic services; implementing waitlist policies and approaches in the specialized community services programs and other general health services.</li> <li>The Division works closely with providers and stakeholders, provides strategic oversight, policy development, performance and issues monitoring and evaluation.</li> </ul>
Office of Indigenous Health	<ul> <li>Works in partnership with B.C. First Nations, Métis and urban Indigenous partners, regional health authorities, across provincial ministries, and with Indigenous Services Canada to ensure the implementation of key strategic priorities and commitments regarding Indigenous health and wellness.</li> <li>Key focus: Using the Recommendations of the <i>In Plain Sight</i> report as a blueprint for action to address Indigenous-specific racism and discrimination across the B.C. health care system.</li> </ul>
Population and Public Health	<ul> <li>Focuses on improving people's overall health and well-being by promoting health; preventing disease, disability, and injury; protecting people from harm, and ensuring particular focus on key groups including Indigenous peoples, women and children.</li> </ul>

#### **MINISTRY OF HEALTH CONTINUED...**

Primary Care	<ul> <li>Responsible for implementing the provincial primary care strategy.         The priorities of the division are: Primary care networks; Urgent primary care centres; Community health centres; Interdisciplinary team based primary care services; ensuring integration of Primary Care services with community services programs.     </li> </ul>
	<ul> <li>The Division also includes HealthLink BC due to its role as a key community services enabler of primary care.</li> </ul>

#### MINISTRY OF INDIGENOUS RELATIONS AND RECONCILIATION

DIVISION/BRANCH	TOPIC
Community and Social Innovation Branch	<ul> <li>Leads the Ministry's efforts in sociocultural reconciliation with Indigenous communities in British Columbia, including First Nations, Metis, urban Indigenous peoples and Indigenous youth. Partners with Indigenous governments, communities, organizations and other ministries to implement community wellbeing initiatives that advance self-determining, thriving, and resilient Indigenous communities.</li> </ul>
Implementation and Lands Services Branch	<ul> <li>Leads key functions for the completion, implementation and ongoing relationship management of agreements with First Nations in the province, including:         <ul> <li>Provincial representative on treaty Implementation Committees;</li> <li>Tri-partite treaty closing to reach Effective Date;</li> <li>Research/advice and survey for crown land negotiations; and</li> <li>Implementation best practices to ensure agreement obligations are met.</li> </ul> </li> </ul>
Negotiations and Regional Operations Division	<ul> <li>Leads provincial engagement with First Nations to advance reconciliation initiatives, including negotiating and implementing agreements with First Nations partners in conjunction with other provincial agencies, federal and local government, and working with stakeholders to ensure the success of reconciliation initiatives.</li> </ul>
Reconciliation Transformation & Strategies Division	<ul> <li>Leads provincial development and implementation of strategic reconciliation initiatives, such as the <i>Declaration on the Rights of Indigenous People Act</i>.</li> <li>Facilitates collaboration and partnership between Indigenous and non-Indigenous peoples, stakeholders, and all levels of government to build capacity and support outcomes that advance reconciliation at the local, regional and provincial levels.</li> <li>Provides strategic advice and coordination to support Indigenous economic development and sustainability as a means to advance self-determination.</li> </ul>

## MINISTRY OF INDIGENOUS RELATIONS AND RECONCILIATION CONTINUED...

Socio-Economic Partnerships Branch	<ul> <li>Leads the development and implementation of key corporate Indigenous initiatives and seeks to achieve positive impacts needed to improve the social and economic conditions of Indigenous peoples in B.C. living on and off reserve. This work requires the development of solutions through a diverse range of initiatives through engagement with Indigenous partners, provincial ministries and the federal government.</li> </ul>
Strategic Liaison,	<ul> <li>Provincial accountability for government consultation and</li></ul>
Consultation and Safety	accommodation procedures and associated tools to support
Branch	statutory decision makers.

#### MINISTRY OF JOBS, ECONOMIC RECOVERY AND INNOVATION

DIVISION/BRANCH	TOPIC
Small Business and Economic Development Division	<ul> <li>Economic analysis and policy, including LNG economic and workforce impacts and opportunities; Business growth and scale-up, including the B.C. supplier development pilot; Better Regulations for British Columbians; and Business and Economics Implications Framework.</li> <li>Supports the development and delivery of policies, programs and initiatives supporting inclusive economic recovery, local, regional and Indigenous economic development, outreach, engagement and community investment readiness.</li> <li>Small business initiatives, programs, resources and available supports including the Small Business Roundtable; Sector and Regulatory Competitiveness including Better Regulations for British Columbians.</li> <li>Leads the development and delivery of policies, programs and initiatives supporting local, regional and Indigenous economic development and community investment readiness.</li> <li>Support the COVID Industry Engagement Table which focuses on ways to reduce the transmission of COVID-19 in workplaces, and to provide input and advice to the government on the impacts and effectiveness of new public health measures and restrictions.</li> <li>Focus on increasing Indigenous participation in the economy, promoting Indigenous businesses and entrepreneurs, and industry partnerships.</li> </ul>

## MINISTRY OF JOBS, ECONOMIC RECOVERY AND INNOVATION CONTINUED...

Investment and Innovation Division

Innovation, Technology and Investment Capital Division

- Leads the development and implementation of strategy and policy in support of provincial technology, innovation and related investment initiatives.
- Responsible for InBC a \$500 million strategic investment fund with a "triple bottom line" mandate that invests in high-growth potential businesses to help them scale up. InBC will continue to be accountable for the administration of the legacy investments of the BC Renaissance Capital Fund, which include 10 investments in venture capital funds, including the BC Tech Fund.
- Major Investments and Strategic Partnerships including:
- Assesses the technical, financial, and strategic scope of investment opportunities to determine the appropriate level of ministry engagement.
- Engages with potential investors who have a viable project opportunity and provides customized support to enable the investment to advance in B.C.
- Works closely with the Ministry of Energy, Mines, and Low Carbon Innovation to boost B.C. as a global exporter of climate solutions, carbon capture, storage, and other low carbon technologies.
- Leads and/or collaborates on complex cross ministry/government activities or strategic initiatives, including economic recovery.
- Develops partnerships with investors to match project financing needs with strategic sources of capital.
- Develops relationships with industry associations, investors, and other investment focused stakeholders to identify projects that could benefit from the branch's involvement.
- The division also manages the BC Knowledge Development Fund Program, the province's participation in the Digital Supercluster, provides expertise on B.C.'s research strengths and leads the planning and coordination of provincial technology and innovation policy and programming, including oversight of Innovate BC and digital marketing initiatives.
- Coordination of the province's StrongerBC programming.
- Cannabis economic development including challenges and opportunities for local and indigenous governments in supporting the development of licit cultivation and retail operations in their communities.
- Develop and implement "pan-economic" frameworks to support provincial investment policy decision-making.
- Leads the ministry's investment evaluation process.
- Provide secretariat support for StrongerBC tracking & reporting.

## MINISTRY OF JOBS, ECONOMIC RECOVERY AND INNOVATION CONTINUED...

## Trade and Industry Development Division

- Delivers economic recovery "StrongerBC" initiatives that help B.C. businesses adapt and recover from the impacts of COVID-19 (Agritech, Manufacturing, Supply Chain).
- Develops programming and strategies for major and emerging sectors of B.C.'s economy.
- Spurs economic opportunities through Canada's free trade agreements and our own international networks in support of diversified and growing exports and investment attraction.
- Drives prosperity and global opportunities for B.C. businesses in collaboration with Global Affairs Canada in the USA, Europe and Asia.
- Develops and delivers events and outreach to support awareness and networking for B.C. businesses in B.C. and overseas.
- Manages international and industry focused domestic marketing efforts in order to promote B.C. internationally as an attractive place to do business.
- Delivers and coordinates trade readiness and trade services programs serving communities across the province including indigenous communities, helping develop prosperous exporting companies positively impacting these communities.
- Supports the delivery of the Export Navigator Program.
- Supports the delivery of the Agritech Concierge Program and colead for implementation of the recommendations of the Food Security Task Force.
- Develops and delivers initiatives to attract and retain international investors and businesses, including support for site selection, aligning efforts with B.C. communities and federal partners to optimize the best investment opportunities for B.C.
- Advances and defends B.C. interests in international and domestic trade negotiations, agreements, and disputes.
- Monitors, analyzes, and communicates trends in investment, exports, and policy, connecting to the B.C. industry development context, and providing the evidence base for sound strategy development and decision making.
- Leads the development of a Trade Diversification Strategy and an Industrial and Manufacturing Strategy.

## MINISTRY OF JOBS, ECONOMIC RECOVERY AND INNOVATION CONTINUED...

Office of Mass Timber Implementation	<ul> <li>The Office is tasked with leading the expansion and use of mass timber in B.C. buildings. Working towards transitioning the forestry sector to high value over high-volume production.</li> <li>Expected outcomes include opportunities for local workers, strong partnerships with First Nations and greater economic opportunity while making a significant contribution to advancing CleanBC, advancing the Mass Timber Action Plan, cross-government and</li> </ul>
	external partner co-ordination & collaboration and supporting the Minister's Mass Timber Advisory Council.

#### **MINISTRY OF LABOUR**

DIVISION/BRANCH	TOPIC
Labour Relations	<ul> <li>Administration of the Labour Relations Code through the independent quasi-judicial B.C. Labour Relations Board. The Ministry also promotes stable labour relations by monitoring collective bargaining disputes and providing formal and informal assistance to the parties. Also, responsible for the Fire and Police Services Collective Bargaining Act.</li> </ul>
Employment Standards	<ul> <li>Administration of the Employment Standards Act to ensure employees receive basic standards of compensation and conditions of employment, including the minimum wage. Provide fair and efficient procedures for resolving workplace disputes. Responsible for the Employment Standards Branch and the Employment Standards Tribunal. Responsible for enforcement of the new Temporary Foreign Worker Protection Act.</li> </ul>
Workers' Compensation	<ul> <li>Administration of the Workers Compensation Act and responsible for WorkSafeBC (provincial Workers' Compensation Board).</li> <li>WorkSafeBC provides compensation services, health care and vocational rehabilitation to injured workers. WorkSafeBC also has authority to develop, enact and enforce the Occupational Health and Safety Regulation. The Ministry is also responsible for the Employers' Advisers Office, the Workers' Advisers Office, and the Workers' Compensation Appeal Tribunal.</li> </ul>

### MINISTRY OF MENTAL HEALTH AND ADDICTIONS

DIVISION/BRANCH	TOPIC
Strategic Policy & Planning Division	<ul> <li>Setting strategic direction and responsible for leading the development and implementation of an overarching, integrated mental health and addictions strategic framework and associated actions plans.</li> <li>Leading child and youth mental health and substance use initiatives including the expansion of Foundry Youth Centres, developing a youth substance use system of care, and establishing integrated child and youth teams.</li> <li>Supporting Indigenous partnerships including partnering on Indigenous-led solutions and advancing broader commitments related to Indigenous reconciliation.</li> <li>Developing a framework for services and supports for people with complex mental health and substance use needs who are not adequately served by supportive housing in B.C.</li> </ul>
Strategic Priorities & Initiatives Division	<ul> <li>Lead for substance use policy, system integration and innovation, including the overdose public health emergency. This includes the full continuum of substance use services and supports from prevention, early intervention, harm reduction as well as treatment and recovery.</li> <li>Lead for public information, social marketing, and anti-stigma campaigns for substance use in the province.</li> <li>Leads and/or supports initiatives and provides strategic advice on workplace mental health. Works collaboratively with community partners, unions, and employer groups to ensure employees and leaders have the tools and training they need to foster psychologically healthy and safe workplaces.</li> </ul>

### **MINISTRY OF MUNICIPAL AFFAIRS**

DIVISION/BRANCH	TOPIC	
Local Government Division		
Governance Structures Governance Services Governance Relations	<ul> <li>Incorporation, restructure, boundary extensions, structure-related legislation and processes, and local and regional governance.</li> <li>Local government administration, elections, governance operations-related legislative requirements/powers and local and regional services.</li> <li>Local government First Nations/Indigenous relations, Crown Grant/Nominal Rent Tenure sponsorships, Business Improvement Areas, and Resort Associations.</li> </ul>	

### MINISTRY OF MUNICIPAL AFFAIRS CONTINUED...

Infrastructure and Engineering	<ul> <li>Asset management, drinking water, wastewater, stormwater, solid waste, green energy, community, recreation and other capital grants, infrastructure planning grants and programs [Investing in Canada Infrastructure Program (Environmental Quality; Community, Culture and Recreation, Rural and Northern Communities, CleanBC Communities Fund, COVID-19 Resilience Infrastructure Stream), Community Economic Recovery Infrastructure Program, Clean Water and Wastewater Fund and Small Communities Fund].</li> </ul>
Local Government Finance	<ul> <li>Local government finance, including:         <ul> <li>budgeting and financial plans;</li> <li>audited financial statements;</li> <li>unconditional grants; Safe Restart – Local Government grants; reserve funds;</li> <li>investments and municipal corporations; long-term liabilities;</li> <li>development financing (including Development Cost Charges);</li> <li>user-fees;</li> <li>taxation (including tax sale);</li> <li>COVID-19 response temporary financial measures; and</li> </ul> </li> <li>Property Assessment Clean Energy (PACE) Programs.</li> </ul>
Local Government Policy, Research and Legislation	<ul> <li>Overall responsibility for local government legislation development for Community Charter, Local Government Act, Local Elections Campaign Financing Act and other local government legislation. Broad responsibility for forward-looking policy development in relation to various local government authorities.</li> </ul>
Planning and Land Use Management Programs	<ul> <li>Local government planning and land use management framework, including: rental zoning, housing needs reports; other local planning and land use tools; ministerial approvals of some official community plans; Regional Growth Strategies (RGSs); and Development Approvals Process Review.</li> <li>CleanBC, Climate Action Charter, Climate Action Revenue Incentive Program (CARIP), joint provincial-UBCM Green Communities Committee (GCC), support for local government climate mitigation and adaptation action including consideration of UBCM's Special Committee on Climate Action recommendations.</li> <li>Dispute resolution related to Regional District service review/withdrawal, RGS and other intergovernmental disputes.</li> <li>Socio-economic effects of LNG Canada and Coastal GasLink's LNG projects on local governments and Indigenous Nations in northern B.C.</li> <li>Funding programs: Safe Restart Strengthening Communities' Services, Safe Restart Local Government Development Approvals Program, Northern Healthy Communities Fund.</li> </ul>

### **MINISTRY OF MUNICIPAL AFFAIRS CONTINUED...**

Public Libraries Branch	<ul> <li>The Public Libraries Branch works together with public library boards, library staff and local governments to improve and ensure the public's access to information, resources, and services under the Library Act. Responsible for areas covering legislation, governance, financial reporting, provincial funding, digital infrastructure, provincial-wide services and provincial policies. Responsible for implementation of B.C.'s strategic plan for public library services.</li> </ul>
Immigration Services and	d Strategic Planning Division
Community Gaming Grants	<ul> <li>Community Gaming Grants support eligible not-for-profit organizations delivering community programs that benefit the citizens of British Columbia. Grants are awarded in several sectors including: Arts &amp; Culture, Sport, Public Safety, Environment, Human &amp; Social Services and Parent Advisory Councils.</li> <li>Eligible not-for-profit can also apply for Capital Grants through the program.</li> </ul>
Community Policy and Legislation	<ul> <li>The branch provides services and leadership in the areas of legislative services, community policy, corporate priorities and strategic planning related to local governments and communities.</li> <li>The branch is responsible for and executes the legislative program and coordinates Orders in Council (OIC), Ministerial Orders and board appointments for the ministry.</li> </ul>
Immigration Services	<ul> <li>Immigration policy, programs, and services; including Provincial Nominee Program (PNP) supporting the attraction of international entrepreneurs and skilled and semi-skilled workers. The Entrepreneur Immigration Regional Pilot and the PNP Tech Pilot are supported by a dedicated PNP Concierge service; Settlement and Integration services, foreign qualifications recognition and interprovincial labour mobility.</li> </ul>

### MINISTRY OF PUBLIC SAFETY AND SOLICITOR GENERAL

DIVISION/BRANCH	TOPIC	
BC Coroners Service	<ul> <li>Responsible for investigating and determining the circumstances of all unnatural, sudden and unexpected, unexplained or unattended deaths in the province.</li> <li>Makes recommendations to improve public safety and prevent death in similar circumstances.</li> <li>Responsible for the investigation of all child death (under the age of 19 years) in B.C. and for investigating all deaths in custody.</li> </ul>	
BC Corrections	<ul> <li>Responsible for the supervision of individual, 18 years or older, on bail or serving a sentence in the community, and the supervision of individuals in custody awaiting trial, serving a sentence under two years, or on an immigration hold.</li> </ul>	
Cannabis Legalization and Regulation Secretariat	<ul> <li>The Secretariat leads and supports the development and implementation of provincial non-medical cannabis policy.</li> <li>The lead for negotiating and entering into government-to-government agreements with Indigenous nations in respect to cannabis under section 119 of the Cannabis Control and Licensing Act.</li> </ul>	
Community Safety and Crime Prevention Branch	<ul> <li>Civil Forfeiture; Victim Services and, Violence Against Women Programming; Domestic Violence; Gender Based Violence; Crime Prevention; Restorative Justice; and Human Trafficking.</li> </ul>	
Emergency Management BC (EMBC)  **Meeting requests for the Minister will be held with the Parliamentary Secretary for Emergency Preparedness.	<ul> <li>Is the lead coordinating agency in the provincial government for all emergency management activities. The overall purpose of EMBC is to make individuals and communities in B.C. safer.</li> <li>Works with local governments, First Nations, federal departments, industry, non-governmental organizations, and volunteers to support the emergency management phases of mitigation and prevention, preparedness, response, and recovery. Additionally, EMBC engages with provincial, national and international partners to enhance collective emergency preparedness.</li> <li>Also, within EMBC is the Office of the Fire Commissioner (OFC). The OFC is the senior fire authority in the province with respect to fire safety and prevention.</li> </ul>	
Insurance Corporation of BC (ICBC)	<ul> <li>ICBC is responsible to the Minister of Public Safety and Solicitor General, who is supported within government by the Crown Agencies Secretariat in the Ministry of Finance.</li> </ul>	

### MINISTRY OF PUBLIC SAFETY AND SOLICITOR GENERAL CONTINUED...

### Policing and Security Branch Police Services provides central oversight of all policing and law enforcement in the province by developing and administering policing policy and programs. Ensures the adequate and effective levels of policing throughout the province. Security Programs administers the Criminal Record Review Program, Protection Order Registry, security screening for cannabis workers and retail applicants, regulation of the security industry in B.C., as well as programs regulating Metal Dealers and Recyclers, Pill Presses, and Armored Vehicles / Body Armor. • The Community Safety Unit was established under the Cannabis Control and Licensing Act. It delivers a province wide regulatory compliance and enforcement program to enhance public safety by focusing on the illegal sale of cannabis. Police and Public Safety Modernization project is tracking the work of the Special Committee on reforming the Police Act in anticipation of their report, expected to be tabled April 28, 2022. • The Indigenous Policing Unit is currently involved in the renewal of the First Nations Policing Program (FNPP) Framework Agreement and provides ongoing oversight of the FNPP, work with RCMP regarding the Community Tripartite Agreements (CTA) and police agencies (Stl'atl'imx Tribal Police Service & Delta Police Service) on issues management through participation in various working groups and committees. Work with Public Safety Canada and other Provinces and Territories on the co-development of the federal legislative framework to make the FNPP an essential service and the expansion of the FNPP. RoadSafety BC • Operates provincial road safety programs and is the policy and regulatory agency responsible for ensuring the safe and responsible operation of motor vehicles in B.C. • The Superintendent of Motor Vehicles is the administrative authority governing drivers and has authority to: Prohibit a person from driving a motor vehicle; o Require a driver to take part in a program to improve their driving; Review and make decisions about sanctions, driving prohibitions and ICBC licensing decisions; and

Make sure B.C. drivers are medically fit to drive.

### MINISTRY OF SOCIAL DEVELOPMENT AND POVERTY REDUCTION

DIVISION/BRANCH	TOPIC
Accessibility Secretariat	<ul> <li>Accessibility – Working across government to increase accessibility and decrease barriers for people with disabilities in B.C.</li> <li>Accessible British Columbia Act.</li> </ul>
Employment and Labour Market Services Division	WorkBC Employment Services:  WorkBC provides British Columbians with the employment services and supports to find good jobs and supports businesses in B.C. to access workers with the right skills. WorkBC Employment Services are delivered through 102 WorkBC Centres across B.C., as well as through a strong online presence. Services are currently available in-person by appointment and virtually. Services include access to job search resources, employment planning, skills training, financial supports, work experience placements, assistive technology supports, apprentice services and more.  Community and Employer Partnerships  The Community and Employer Partnerships (CEP) Fund is a component of WorkBC Employment Services by investing in training and skills for unemployed job seekers and supporting people to find and keep good jobs. The Community and Employer Partnerships fund supports community-based initiatives to help individuals and the broader community. With the help of this fund, communities see job creation, positive social impacts, economic growth, and more employment opportunities for unemployed British Columbians.  In response to the rapidly changing labour market and to support recovery efforts, CEP is prioritizing applications and funding projects that:  • Create work experience and training opportunities to prepare job seekers for available jobs; and
	Support an inclusive economic recovery in B.C. communities.

### MINISTRY OF SOCIAL DEVELOPMENT AND POVERTY REDUCTION CONTINUED...

### • The Research, Innovation and Policy Division (RIPD) provides Research, Innovation and research, policy and legislation support to the ministry, enabling **Policy Division** the Service Delivery Division to successful deliver the BC Employment and Assistance Program and provide financial assistance to British Columbians most in need. • Income and Disability Assistance – Effective May 2021, the B.C. Government has provided the largest-ever permanent increase to Income Assistance and Disability Assistance rates. RIPD also undertakes reconsideration activities for clients disputing decisions regarding eligibility for or amounts granted through Income and Disability Assistance programs. • RIPD also leads government on Together BC, BC's Poverty Reduction Strategy, including recent mandate items regarding food security and period poverty. The Division also supports the Parliamentary Secretary for Community Development and Non-

### MINISTRY OF TOURISM, ARTS, CULTURE AND SPORT

Profits.

DIVISION/BRANCH	TOPIC
Arts and Culture	<ul> <li>Supports the arts and culture sector through COVID-19 response and recovery.</li> <li>Leads research, analysis, policy, and program development to provide broad access to and increase participation in arts and culture.</li> <li>Implements the BC Arts Council Strategic Plan focusing on increasing equity, diversity and access; improving sustainability and creative development; enhancing engagement with Indigenous arts and culture; and expanding regional and community arts.</li> <li>Administers application-based grant programs for artists/cultural practitioners, and non-profit arts and culture organizations, including programs for artistic development &amp; capacity building, small arts infrastructure projects, and arts-based community development.</li> <li>Provides oversight of cultural infrastructure priority projects, including the Royal BC Museum modernization and the establishment of a Chinese Canadian Museum.</li> </ul>
BC Athletic Commission	<ul> <li>Legislation and regulatory oversight of professional boxing, kickboxing, and mixed martial arts, as well as amateur kickboxing, mixed martial arts, Muay Thai and pankration.</li> </ul>

### MINISTRY OF TOURISM, ARTS, CULTURE AND SPORT CONTINUED...

Creative Sector	<ul> <li>Lead on cross-ministry and intergovernmental coordination, policy development and research to support the growth of B.C.'s creative sector (i.e., film, television, interactive digital media, music, and publishing) and work with industry stakeholders to advance opportunities. Provides oversight and strategic direction for Creative BC and the Knowledge Network.</li> </ul>
Sport	<ul> <li>Lead on policy development and program implementation related to amateur sport development in B.C. Work is guided by B.C.'s Sport Framework (Pathways to Sport) which identifies three key priority areas of focus for the provincial sport system: sport participation; athlete development; sport event hosting.</li> </ul>
Tourism	<ul> <li>Policies, programs, destination development and strategic engagement to support B.C. tourism sector's recovery and resilience; manages the Resort Municipality Initiative, Tourism Event Program and Municipal Regional District Tax program (jointly with Ministry of Finance and Destination BC). Provides oversight of Destination BC (tourism marketing) and BC Pavilion Corporation (BC Place and Vancouver Convention Centre) and leads development and implementation of provincial tourism framework.</li> </ul>

### MINISTRY OF TRANSPORTATION AND INFRASTRUCTURE

DIVISION/BRANCH	TOPIC
Highway Operations	• The Highway Operations Department plans, designs, constructs, operates, rehabilitates and maintains the provincial public highway system. Project manages and delivers hundreds of expansion, rehabilitation and safety improvement projects annually including maintenance contracts, centreline marking contracts and electrical contracts. Oversees and manages privatized road and bridge maintenance. Approves subdivisions in rural areas near provincial highways, issues highway permits for access, utilities and special events and approves zoning near provincial highways. The department, through their Commercial Vehicle Safety Enforcement (CVSE) program, also regulates and manages commercial vehicle activities on the provincial highway network and aligns requirements with neighboring provinces to ensure the safe and efficient movement of goods within B.C. and beyond.

### MINISTRY OF TRANSPORTATION AND INFRASTRUCTURE CONTINUED...

#### **Highway Services** • The Highways Services Department develops province-wide engineering and environmental solutions and implements standards, policies and procedures regarding provincial transportation engineering. Ensures commercial vehicle safety by managing the National Safety Code, the Vehicle Inspection and Standards and enforcement of the Motor Vehicle Act in relation to commercial vehicles. Highway Services also includes the Passenger Transportation Branch, which regulates commercial passenger vehicles including taxis, ride hailing companies, limousines, charter and inter-city (scheduled) buses, in accordance with the Passenger Transportation Act. Major Projects, • The Major Projects, Infrastructure and Properties Department is Infrastructure and responsible for all aspects of strategic planning, capital **Properties Department** programming, including development and management of the provincial 10-year Transportation Investment Plan, management of federal and community cost sharing programs, and the delivery of the major transportation projects throughout the province. Oversees the acquisition, management and disposition of the ministry's thousands of properties and land interests, including those owned by the BC Transportation Financing Authority and BC Railway Company. The department is also the primary linkage to the Transportation Investment Corporation. **Transportation Policy &** • The Transportation Policy and Programs Department is responsible **Programs Department** for all aspects of strategic transportation policy, legislation, and grant programs in British Columbia. This includes air, rail, public transit service delivery through BC Transit and coastal and inland ferry delivery, governmental relations, active transportation and airport grant programs, Clean BC and climate change initiatives, corporate planning, strategic initiatives and writing services. The department has direct oversight of the province's coastal ferry service through BC Ferries and also supports the Minister Responsible for TransLink.

### PROVINCIAL AGENCIES, COMMISSIONS AND CORPORATIONS AVAILABLE DURING THE UBCM 2021 CONVENTION

DIVISION/BRANCH	TOPIC	
Agricultural Land Commission	<ul> <li>Information and advice regarding the Agricultural Land Reserve (ALR) and work of the Provincial Agricultural Land Commission (ALC). ALC Chair and/or Chief Executive Officer will be in attendance.</li> </ul>	
BC Emergency Health Services (BCEHS)	<ul> <li>As part of the Provincial Health Services Authority, BCEHS governs the emergency medical services system in B.C. and provides prehospital emergency and inter-facility patient transfer services. BCEHS paramedics, emergency medical call takers and dispatch staff provide pre-hospital emergency care and medically necessary transport (ground and air ambulances) for British Columbians. BCEHS also oversees patient inter-facility transfers in the province, working with health authorities to coordinate the transfer of acute and critically ill patients to an appropriate level of care both within and outside of B.C.</li> <li>Members of the BCEHS executive team look forward to participating in productive and engaging sessions with municipal leaders.</li> </ul>	
BC Housing	<ul> <li>BC Housing works in partnership with private and non-profit sectors, provincial health authorities and ministries, other levels of government and community groups to develop a range of housing options. These affordable housing options span the housing continuum, including emergency shelter and housing for the homeless, transitional supportive and assisted living, independent social housing, rent assistance in the private market, and affordable owner-purchase housing.</li> <li>Through the Homeowner Protection Act, BC Housing also licenses residential builders, administers owner builder authorizations, ensures that mandatory licensing and home warranty insurance provisions are complied with, oversees the third-party homewarranty insurance, and carries out research and education that benefits the residential construction industry, consumers and the affordable housing sector.</li> </ul>	
BC Hydro	<ul> <li>BC Hydro's mission is to safely provide reliable, affordable, clean electricity throughout B.C. Our vision is to be the most trusted, innovative utility company in North America by being smart about power in all we do. BC Hydro's Community Relations staff look forward to addressing any questions that you may have related to our operations.</li> </ul>	

### PROVINCIAL AGENCIES, COMMISSIONS AND CORPORATIONS AVAILABLE DURING THE UBCM 2021 CONVENTION CONTINUED...

BC Oil and Gas Commission	<ul> <li>The BC Oil and Gas Commission regulates oil, gas and geothermal activities for the benefit of British Columbians and looks forward to addressing any questions you may have on our regulatory oversight.</li> </ul>
BC Transit	<ul> <li>From small towns to large urban centres outside of Metro Vancouver, BC Transit delivers safe, reliable, customer focused transportation services you can rely on. BC Transit would be pleased to discuss any questions you may have regarding our services. In order to provide you with the best information possible, please provide specifics relating to your questions within the online meeting request.</li> </ul>
Insurance Corporation of British Columbia (ICBC)	• ICBC provides universal compulsory auto insurance (basic insurance) to drivers in British Columbia, with rates regulated by the British Columbia Utilities Commission (BCUC) and sells optional auto insurance in a competitive marketplace. Our insurance products are available across B.C. through a network of independent brokers, and claims services are provided at ICBC claims handling facilities located throughout the province. We also invest in road safety and loss management programs to reduce traffic-related deaths, injuries and crashes, auto crime and fraud. In addition, provides driver licensing, vehicle registration and licensing services, and fines collection on behalf of the provincial government at locations across the province. ICBC will have staff at the Convention who would be pleased to discuss or meet on any issues related to ICBC's operations.

### PROVINCIAL AGENCIES, COMMISSIONS AND CORPORATIONS AVAILABLE DURING THE UBCM 2021 CONVENTION CONTINUED...

### Police Victim Services British Columbia

- Police Victim Services of British Columbia Society (PVSBC) is the B.C. registered not for profit charity membership organization mandated to be the primary advocate for all (95+) police-based victim service (PBVS) organizations within the Province of B.C.
- PBVS local organizations are physically embedded with the municipal or RCMP detachment delivering ongoing police services to the citizens of each community.
- Our Vision is All victims of crime and trauma across B.C. receive compassionate, professional, and consistent services.
- PVSBC advocates, represents, lobbies, and communicates with members, partners and stakeholders on the development and delivery of PVSBC trauma informed programs, baseline and advanced training, professional development and member support programs.
- Funding is provided by the Provincial and Federal Governments, with additional resources from corporate and individual contributions, sponsorships and program revenues.
- PVSBC will have staff present at the Convention to engage delegates in any issue related to the delivery of police-based victim services as well as the linkage to police and other local partner/stakeholders within their community.

### Royal Canadian Mounted Police (RCMP)

• The Royal Canadian Mounted Police (RCMP), Canada's national police force, provides policing services to most of British Columbia, including 150 municipalities. These services include, but are not limited to, uniformed patrols, response to calls for service, investigative services, community-based policing and traffic enforcement. Additionally, the BC RCMP is part of a number of integrated teams operating throughout the province who provide specialized police services to British Columbians. The BC RCMP values ongoing collaboration and meaningful communication with our partners. Representatives of the BC RCMP's Senior Management Team look forward to hearing your feedback and answer any questions you may have about its services so that we can work together towards our common goal of providing your community with a professional, efficient and effective police service.





### Regional District of Bulkley-Nechako Memo

TO: Chair Thiessen and Committee of the Whole

FROM: Cheryl Anderson, Director of Corporate Services

**DATE:** June 10, 2021

SUBJECT: ANNUAL UBCM CONVENTION

- BC Hydro Meeting

#### RECOMMENDATION

(ALL/DIRECTORS/MAJORITY)

Direction.

#### **BACKGROUND**

Attached is an invitation from BC Hydro to schedule meetings with local governments prior to the UBCM Convention.

The deadline for requesting a meeting with BC Hydro is June 30, 2021.

#### Attachments:

- 1. Email from BC Hydro
- 2. Meeting Request form

#### **Cheryl Anderson**

From:

Cheryl Anderson

Sent:

June 2, 2021 9:11 AM

To:

Cheryl Anderson

Subject:

FW: [EXTERNAL]: BC Hydro Meeting Prior to 2021 UBCM Convention

Attachments:

UBCM 2021 BC Hydro Meeting Request Form.docx

From: Tracey Hill < tracey.hill@bchydro.com>

Sent: June 1, 2021 5:23 PM

To: Curtis Helgesen < curtis.helgesen@rdbn.bc.ca>

Subject: [EXTERNAL]: BC Hydro Meeting Prior to 2021 UBCM Convention

June 1, 2021

#### Dear Regional District Directors:

BC Hydro is pleased to offer local government officials with the opportunity to meet with our senior leadership during the week of September 7, just prior to this year's 2021 UBCM Convention.

If you would like to arrange a virtual meeting, please fill out the attached form and return it with your email request to Adil Zaheer (adil.zaheer@bchydro.com) by Wednesday, June 30th.

By doing so, we can ensure better preparation and a more effective meeting with you. We will provide full details when we confirm your meeting date and time.

If you are unable to provide us with your notice by the end of June, we may not be able to accommodate a meeting request for early September.

However, as we did last year, we are prepared to hold meetings with local government officials in the weeks following UBCM to accommodate any late requests.

If you have any questions, please don't hesitate to contact me at 250 561-4858.

Sincerely,

#### **Bob Gammer**

Community Relations Manager Northern region

### UBCM 2021 Meeting Request Form\* with BC Hydro

Municipality:	
Attendees:	
Topic: (Please pose as a question)	
1.	
2. 3.	
Background:	
Key Contact:	0.00

\*Note: Please submit by Wednesday, June 30th, 2021.



### REGIONAL DISTRICT OF BULKLEY-NECHAKO

#### **MEMORANDUM**

TO: Chair and Committee of the Whole

FROM: Cheryl Anderson, Director of Corporate Services

**DATE:** June 10, 2021

SUBJECT: Union of B.C. Municipalities – Forging the Path to Responsible

**Conduct in Your Local Government** 

#### RECOMMENDATION:

(all/directors/majority)

Discussion/Receive

#### BACKGROUND

The Working Group on Responsible Conduct was a joint initiative inclusive of the Union of B.C. Municipalities, the Local Government Management Association, and the B.C. Ministry of Municipal Affairs in developing 'Forging the Path to Responsible Conduct in Your Local Government.'

The Working Group concludes that Local Governments are finding that putting sustained effort towards fostering responsible conduct and resolving conduct issues informally is an effective way to avoid lengthy, divisive enforcement processes, and is also necessary to sustain and maintain good governance.

The adoption of a code of conduct is strongly recommended prior to issues emerging.

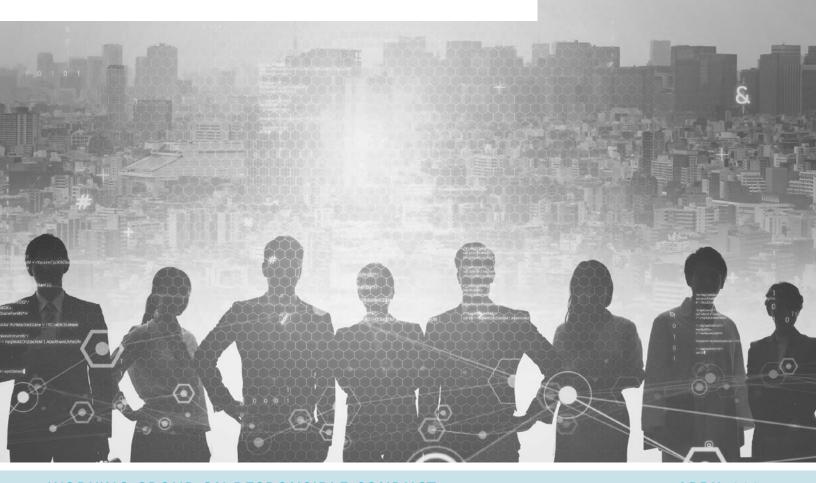
This document is being provided for the Board's information and consideration. In the coming months, staff will be making some housekeeping revisions and updates to the RDBN's policies and procedures, some of which are correlated to this document. In addition, staff are currently working on a new Social Media Policy, and the Board may wish to consider other policies based on the recommendation and best practices from this guide.

#### Attachment:

1. Forging the Path to Responsible Conduct In Your Local Government

# Forging the Path to RESPONSIBLE CONDITION

In Your Local Government



WORKING GROUP ON RESPONSIBLE CONDUCT

**APRIL 2021** 







### THANK YOU TO ALL PROJECT PARTICIPANTS

The Working Group on Responsible Conduct is a joint initiative of the B.C. Ministry of Municipal Affairs, the Local Government Management Association of British Columbia, and the Union of British Columbia Municipalities.

We sincerely appreciate the valuable contributions of all those who assisted the Working Group on Responsible Conduct in developing this guide, Forging the Path to Responsible Conduct in Your Local Government.

The project greatly benefited from the support and involvement of these participants, including B.C. local government elected and staff officials, and the legal experts who advise them. These individuals, through their willingness to share their experiences, were absolutely central in showing us how leading local governments can manage conduct issues within the current B.C context. They are truly forging the path to responsible conduct in their communities. It is our hope that in passing on the wisdom built through those experiences, the guide will provide others with practical ideas to allow them to to do the same.



### INTRODUCTION

### About this Guide

How local government elected officials conduct themselves matters. Conduct is central to governance and when conduct issues emerge, especially if allowed to fester, good governance can be impaired and public trust eroded. Yet dealing with conduct issues can sometimes be overwhelming and governing in the face of them enormously challenging.

The guide presents practical ways to help prevent conduct issues and to deal with them if they do arise. The guide does not represent legal advice, nor is it a substitute for that advice.

### **Guide Development**

This guide was developed by the Working Group on Responsible Conduct (WGRC), a joint initiative by the Union of British Columbia Municipalities, the Local Government Management Association of British Columbia (LGMA), and the B.C. Ministry of Municipal Affairs. The staff-level Working Group undertakes collaborative research and policy work on the issue of responsible conduct of local government elected officials.

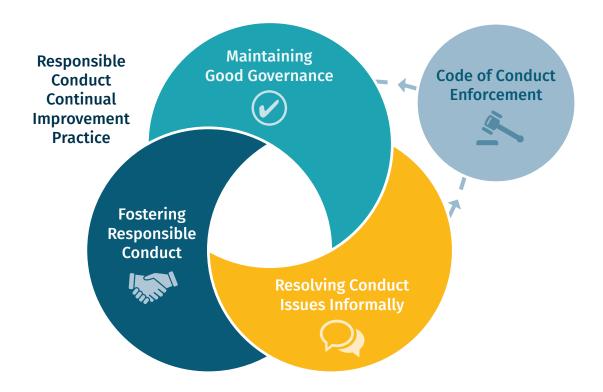
This guide builds on, and should be read in conjunction with, three previous WGRC publications: Foundational Principles of Responsible Conduct for BC's Local Governments along with Getting Started on a Code of Conduct for Your Council/Board: Model Code of Conduct and its Companion Guide.

The guide was informed by WGRC research, a review of a sample of B.C. local government codes of conduct that include enforcement provisions, and discussions with local government elected and staff officials and legal experts experienced in responsible conduct matters.

Our key take-away from those discussions was: It's worth putting a lot of effort into prevention and informal resolution of conduct issues. There are enforcement processes if that doesn't work, but in practice, local governments are finding more success with informal methods.

Watch for highlighted leading practice tips and quotes from trusted advisers that came to the WGRC during our research.

All resources noted in the chapters are linked in Chapter 6, Resources.



### **Guide Organization**

The guide is organized around two central concepts:

- A continuous improvement practice to foster responsible conduct, maintain good governance, and resolve conduct issues informally; and
- Where it is needed, code of conduct enforcement.

The three continuous improvement topics do not represent a linear process, with a local government moving sequentially through each; instead, they are intertwined with activities in each undertaken iteratively, shaping an organizational culture of trust and respect, where participants work effectively together and councils and boards govern well.

There is a well-established body of practice in these areas, and the guide draws on this to provide examples, leading practice tips and links to further information and resources. With these measures in place, conduct issues can be avoided, or managed early on, reducing the need for enforcement of a code of conduct. However, even within this context, there may occasionally be a need for a local government to enforce its code of conduct.

Articulating an enforcement process within a code of conduct is a relatively new practice in B.C. The guide draws on examples from leading local governments that have included enforcement in their codes to highlight both current practice and things a local government may wish to consider as it begins to design its own enforcement process.

### **Table of Contents**

This is an interactive table of contents. Click on any titles to go directly to that section.

See Chapter 6, Resources for links to the publications and other resources referenced throughout this guide.

CHAPTER 1 Fostering Responsible Conduct	6
What Kind of Conduct is Problematic and Why?	6
What is Responsible Conduct?	7
How Can We Build Responsible Conduct in Our Local Government?  Adopt a Code of Conduct or Other Conduct Policy Align Policies, Procedures and Practices Elected Official Leadership, Knowledge-sharing, Skills Development and Support  Food for Thought	8 8 8 9
CHAPTER 2 Maintaining Good Governance	11
Working Together Before, During, After – and	
Despite - Conduct issues	11
Whose Job is it Anyway? Enhance Collaboration: Embrace Diverse Ideas	11
and Conflicting Views	12
Contain Conduct Issues	14
Council/Board Check-ins	14
Food for Thought	16
CHAPTER 3	
Resolving Conduct Issues Informally	17
When and Why to Consider an Informal Approach	17
How to Pursue an Informal Approach	18
When You Demonstrated Poor Conduct	19
When You Are on the Receiving End of Poor Conduct Involvement of Another Person in Individual	20
Discussions	20
Where an Individual's Conduct Impacts All Members Tips for These Discussions	20 21
Where the Conduct Issues are Systemic	41
or Widespread	21
Professional Advice from Staff	22
Food for Thought	22

CHAPTER 4 Essentials of Code of Conduct Enforcement	23
When to Consider Enforcement	23
Overview of Other Enforcement Approaches Specific Statutory Processes Process to Decide on a Specific Alleged Conduct	24 24
Contravention and Impose Related Sanctions	25
Obtaining Legal, Law Enforcement and Other Advice About Enforcement Processes	26
Code of Conduct Enforcement: Overarching Considerations Ensuring a Fair Process Ensuring the Investigator has Sufficient Independence, Expertise and Authority Balancing Transparency and Confidentiality Matters of Cost, Capacity, Efficiency and Effectiveness	27 27 28 28 28
Code of Conduct Enforcement: Process Steps, Current Practice and Considerations	29
Sanctions Current Practice for Sanctions Considerations When Imposing Sanctions How to Improve the Post-sanction Environment	38 38 39 40
Food for Thought	41
CHAPTER 5 Conclusion	42
Forging the Path to Responsible Conduct	42
CHAPTER 6 Resources	43

### **CHAPTER 1**

### Fostering Responsible Conduct

### What Kind of Conduct is Problematic and Why?

Some expectations of good conduct will be clear to most, often because these are set out in law: things like a person not voting on something if they have a financial interest in it, keeping confidential information confidential, not discriminating against a person, and not making slanderous statements.

Other behaviours – like respecting others at meetings or not criticizing colleagues, staff or members of the public on social media – may be less obvious to some; perhaps council or board members don't even agree on what conduct they expect of each other in these areas. For example, some may think that there is nothing wrong with dismissing or belittling another in a debate because they have different backgrounds, experiences, or cultural values than you, or because their politics or points of view on a matter are different than yours.

Some may think that shouting at the chair is an acceptable tactic to get their point across, or that intimidating staff when they won't give you what you want is a way to get things done. However, all of these kinds of conduct can be destructive.

Even subtle actions can become pervasive, escalate over time, erode relationships and impair the ability of the local government to fulfill its most basic responsibilities to make collective decisions in the interests of the community. Electors have entrusted elected officials, acting collectively as the local government's governing body, to govern in the public interest; any conduct that gets in the way of that is a problem.



<sup>1</sup> The B.C. Human Rights Code prohibits certain activities and conduct that discriminate against a person or group or class of persons because of the race, colour, ancestry, place of origin, religion, marital status, family status, physical or mental disability, sex, sexual orientation, gender identity or expression, or age of that person or that group or class of persons. See Chapter 6, Resources for a link to the legislation.

### What is Responsible Conduct?

In the context of this guide, responsible conduct refers to how local government elected officials conduct themselves with their elected colleagues, with staff and with the public. It is grounded in conducting oneself according to principles such as integrity, accountability, respect, and leadership and collaboration, in a way that furthers a local government's ability to provide good governance to its community.

As illustrated in the graphic, conduct expectations can take the form of unwritten norms, written principles, or local, provincial or federal policy or law.

Much of this guide is focused on local government policy and bylaws, such as a local government code of conduct because:

- Preventing conduct issues is difficult when relying on unwritten rules or general statements of principle developed by others and not endorsed by the local government; and
- Considerable guidance is provided elsewhere for conduct that is governed by federal or provincial law; this guide touches on that aspect but directs the reader to external resources for more information.

### Unwritten rules, and general statements of principles, e.g.:

- Societal norms
- Personal expectations
- Foundational Principles of Responsible Conduct for BC Local Governments

### Legislation and common law, e.g.:

- CC/LGA Duties of office (e.g. take Oath, attend meetings)
- Confidentiality of information (CC, FOIPPA)
- Conflict of interest and other CC/LGA ethical conduct provisions
  - Matters such as libel, slander, fraud (Criminal Code of Canada)
    - Discrimination (BC Human Rights Code)

### RESPONSIBLE CONDUCT

How local government
elected officials conduct
themselves with elected
colleagues, local
government staff
and the public

### Local government policy and bylaws, e.g.:

- Adopt Foundational Principles
- Code of Conduct, Code of Ethics or other policy document setting out standards of conduct
- Respectful workplace policy
- Use of social media policy

Local government policy and/or process required by legislation, e.g.:

- WorkSafe harassment and bullying
- Procedure bylaw

### **How Can We Build Responsible Conduct in Our Local Government?**

### Adopt a Code of Conduct or Other Conduct Policy

Avoiding conduct issues when rules are unwritten is hard because people don't know what is acceptable. Building a shared understanding of expected conduct and setting that out in a code of conduct will make expectations clearer and is a good way to prevent issues.

Codes of conduct provide conduct standards that supplement conduct already required legislatively (e.g., conflict of interest rules, confidentiality requirements, prohibitions on discrimination) or through policy (e.g., council/board-staff relations) to ensure that the full range of expected conduct is clear. Existing legislation and/or local government policies will need to be considered as a local government develops its code to ensure the code is not inconsistent with existing conduct requirements.

Many codes also include details about how alleged contraventions will be dealt with. This can be a preventative measure because it adds clarity about how an individual elected official will be held accountable for their conduct.

Adoption of a code of conduct is strongly recommended – as is the inclusion of an enforcement process to address alleged contraventions, and a range of sanctions that may be imposed by the Council or Board if a contravention is determined. Ideally, initiate discussions towards adoption of the code before conduct issues emerge.

If you already have a code, use Chapter 4, Essentials of Code of Conduct Enforcement, to support development of an enforcement process. If you haven't yet adopted a code, start with two previous WGRC publications (Model Code of Conduct and its Companion Guide). Both are linked in Chapter 6, Resources.

### **Align Policies, Procedures and Practices**

Procedure bylaws are an important tool in supporting conduct in meetings and Council and Board decision-making. *The Procedure Guide: For B.C.'s Local Governments* by the LGMA and B.C. Ministry of Municipal Affairs aims to help local governments proactively consider and change their procedure bylaw to help address challenging situations and to support responsible conduct.

Local governments have many other policy and procedural tools that can be used to support responsible conduct, including such things as (see links to samples in Chapter 6, Resources):

- · Oath of office
- · Social media policies
- · Information-sharing practices
- · Conduct expectations for members of the public
- · Checklists and educational tools

### **LEADING PRACTICE TIPS**

It's easiest to have discussions about creating a code of conduct before conduct issues emerge. If your Council or Board is struggling to have those discussions, try starting incrementally and adopting the WGRC's Foundational Principles of Responsible Conduct as a statement of the Council/Board's commitment to those principles.

#### **LEADING PRACTICE TIPS**

Try a visual or verbal reminder of expected conduct at meetings, like printing the WGRC's Foundational Principles of Responsible Conduct on a placemat for every Council or Board member's place at the table or stating the oath of office at the beginning of every meeting.

### Elected Official Leadership, Knowledge-sharing, Skills Development and Support

Leadership development can play a significant role in maintaining responsible conduct and good governance.

For example, respectful dialogue at a Council or Board meeting is more likely when all members understand that decisions are made collectively and not by the mayor/chair, electoral area director, or any other individual elected official. Additionally, trust and respect can be improved through understanding one's role and how it fits with the roles of others, building cultural humility,<sup>2</sup> communicating in a way that respects people's inherent dignity, and developing an appreciation of the value of different perspectives.

Building a clear understanding about conduct rules and expectations early in a term – including those that are legislated (e.g., conflict of interest) and those that are established through codes of conduct – can be a key factor in elected officials meeting those expectations. In addition, compliance can be improved and conduct issues avoided if a local government provides its elected officials with trusted advice in response to their concerns about how they can comply with conduct rules.

Similarly, skill development in areas like effective communication, chairing a meeting, dispute resolution, and strategic thinking can support both good governance and responsible conduct. Leadership and skill development should be a priority for Councils and Boards as well as for both newly elected and veteran elected officials across B.C.

For participants in the decisionmaking process, shared power and decision-making puts a premium on leadership skills that help one's fellow leaders find common ground.

(From the Institute for Local Government webpage article Decision Making in the Collective Interest)

<sup>2 &</sup>quot;Cultural humility is a process of self-reflection to understand personal and systemic biases and to develop and maintain respectful processes and relationships based on mutual trust. Cultural humility involves humbly acknowledging oneself as a learner when it comes to understanding another's experience." First Nations Health Authority. See Chapter 6, Resources for links and more information.

#### **LEADING PRACTICE TIPS**

Participate in the Local Government Leadership Academy's Annual Forum, which enables elected officials to learn formally from speakers, and informally through networking with colleagues from around the province. Relationships forged here can have ongoing benefit, as elected officials find they are not alone, and gain confidence to share ideas and seek advice from others who understand the challenges they may be facing.

Consider additional education, including:

- Scenario-based training where participants work through difficult situations or areas of conflict and practice skills to effectively deal with them;
- Training to increase understanding of the history and experiences of people who make up the community and avoid stereotypes and discrimination;
- · Confidential coaching or mentoring for individual members of the Council or Board; or
- Pre-election candidate orientation, so individuals considering running for office know what they're getting into.

Consider developing a process to involve your Council or Board in determining their leadership and skills development priorities.

### **FOOD FOR THOUGHT**

- How well are we prepared to deal with conduct issues if they begin to emerge?
- > Do we have a code of conduct? If not, why not?
- Does our code include a process to address alleged contraventions? If not, why not?
- What issues are emerging that aren't dealt with under our code? Do we have policies to deal with them (e.g., social media policy)? Can we strengthen compliance by referring to these policies in our code?
- Have we allocated funding for elected officials' leadership development, skills building and support in our budget? Do elected officials know this is available? How do we know what support and skills building are important to members individually and collectively?
- Where can our elected officials go if they have questions about their conduct or to get advice about how they can comply with conduct rules? Does that advice include both legislated rules like conflict of interest and duty to respect confidentiality, as well as our code of conduct?

**CLICK HERE** for links to resources referenced in this chapter.

### **CHAPTER 2**

### Maintaining Good Governance

### **Working Together Before, During, After – and Despite – Conduct issues**

A Council or Board is entrusted by electors to govern in the best interests of the community and it can only do this as a collective. Individual members cannot independently govern or make decisions affecting their community, but they can participate and contribute towards collective decision-making, and collaborative good governance responsibilities.

Given this, Boards and Councils need to find ways to work together; to effectively cooperate, collaborate, and make decisions, regardless of things like conduct issues, strained relationships or conflicting views. "We need to stop pretending that good governance is an accident; if you're not doing this proactively, you'll be doing it reactively." (A B.C. local government consultant, facilitator and lawyer)

### Whose Job is it Anyway?

Everyone has a role to play in responsible conduct and good governance.

- Every elected official is accountable for their own conduct and must make sure they are always acting ethically and responsibly.
- The mayor or chair provides leadership and can lead by example, maintain order at meetings and propose policy changes, but they cannot, on their own, ensure the Council or Board operates as it should.
- All Council or Board members influence how the collective works, and in the interest of serving their community, all can take steps to work effectively together, including speaking up when problems arise.
- Staff provide professional advice to the Council or Board and carry out its decisions in an effective, efficient and non-partisan manner. The relationship between elected and staff officials is intertwined, so it is vital for both to understand and respect one another's roles. Developing effective lines of communication, and trustful, respectful relationships between elected and staff officials supports good governance, even under challenging circumstances. The CAO is your one employee and your ally to help elected officials be successful.

"Local officials are grappling with difficult policy challenges... A goal is to create a culture of tolerance for differing points of view that credits everyone with having the best interests of the community in mind."

(From the Institute for Local Government document Tips for Promoting Civility in Public Meetings)

### Enhance Collaboration: Embrace Diverse Ideas and Conflicting Views

Councils and Boards that welcome healthy debate, diverse ideas and conflicting views make better decisions. Different lived experiences and fresh perspectives can provide valuable insights, uncover opportunities and bring out solutions that hadn't previously been considered but are better for the community.

Productive conflict<sup>3</sup> – that is, conflict that leads to productive results, such as better decisions – can be a significant positive influence on good governance. Productive conflict is an open exchange of conflicting or differing ideas in which parties feel equally heard, respected and unafraid to voice dissenting opinions as they work toward a mutually comfortable solution.

On the other hand, unproductive conflict – characterized by frequent, unresolved arguments – can leave individuals feeling angry and frustrated, bringing about conduct issues and making good governance more difficult.

#### **LEADING PRACTICE TIPS**

Provide a way for elected officials to build informal relationships beyond the Council or Board table (it can be as easy as sharing a meal together).

The next time a contentious issue is under discussion, try a "no rebuttal round table session" where every member has an opportunity to state their position on the issue and explain its impact from their perspective, and no member can rebut someone else's statement (when it is their turn, they must speak only to their personal perspectives).

(Details of this process, including its successes, are provided in the Enhancing Collaboration in British Columbia's Regional Districts report, found in Chapter 6, Resources.)

<sup>3</sup> From Unproductive Conflict vs. Productive Conflict. See Chapter 6, Resources for link and details.

Individual strategies for productive conflict include:

- · Separating the person from the issue;
- Moving the discussion from positions to interests; and
- Seeking win-win scenarios, where solutions can meet key mutual interests.

Developing these skills can be a catalyst to move from unproductive conflict, with parties entrenched in their positions, to a place where conflicting views become a pathway to better solutions.

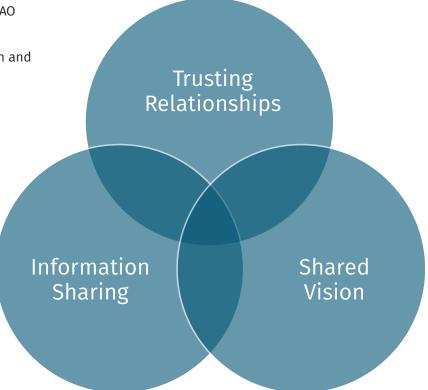
Focusing on trusting relationships, strong information sharing practices and a shared vision can equip a local government to ensure conflict remains productive and improve collaboration. For example:<sup>4</sup>

• Organizing information seminars on complex issues:

 Maintaining a strong chair/mayor and CAO leadership team; and

 Preventing the spread of misinformation and establishing a common set of facts. "Regional issues may be more obscure than in a municipality and it is important to give all directors, from municipalities and electoral areas, the support they need to appreciate their role in creating a regional vision."

(A B.C. regional district CAO)



<sup>4</sup> Examples from Enhancing Collaboration in British Columbia's Regional Districts. See Chapter 6, Resources for link and details.

### Contain Conduct Issues: Use Policy/ Procedural Tools to Manage Meetings and Conduct, and Support Good Governance

Simply having policy and procedural tools in place are not enough; they will only be effective in managing conduct if they are used.

If the procedure bylaw supports responsible conduct or a code of conduct is in place, the mayor or chair can remind an elected official of their obligation to comply in real time when a conduct incident occurs at a meeting. Alternately, Councillors or Directors can raise a point of order in relation to the conduct.

If policy levers are not sufficient to support responsible conduct and good governance, any Council or Board member can propose an agenda item for a future meeting to discuss adoption or amendment of the needed policy.

Some examples that illustrate the range of policy levers that could be engaged are shown in the 'Align Policies, Procedures and Practices' section in the previous chapter.

"You might not be able to change behaviour, but you can change the local government's practices and system framework around it." (A B.C. local government legal advisor)

#### **LEADING PRACTICE TIPS**

Using a procedure bylaw that specifically addresses conduct expectations, in combination with handbooks like *Robert's Rules of Order*, and *Local Government Act* and *Community Charter* provisions like the ability to expel someone acting improperly from a meeting, can be powerful tools to help contain conduct issues that arise during a meeting.

Developing a checklist for the Council or Board to evaluate its own effectiveness can be a good starting point for a check-in discussion. See Chapter 6, Resources for some sample checklists that can be customized.

### Council/Board Check-ins: Find Ways to Work More Effectively Together

A Council or Board discussion – or check-in – about how to work together more effectively can provide a useful forum to identify and address areas of concern, including conduct, conflict, or collective 'blind-spots' that get in the way of effective discussion and decision-making. This can help to build trusting relationships as well as identify policy or procedural changes to overcome systemic barriers, and/or learning topics that could support both the collective and its individual participants to become more effective.

When negative conflict or conduct issues are present, these check-ins can help to clear the air, de-escalate unproductive conflict, improve communication, and help the Council or Board refocus on improving working relationships and removing barriers to its effectiveness.

These discussions can be challenging to start if a Council or Board is facing significant stress. Consider initiating them early in the term when tensions aren't high, and continue them on a regular basis after that.

Alternatively, some of the discussion can be woven into other processes, such as those in the graphic. Successes from these early discussions will reinforce the benefit of open dialogue aimed at improving relationships, and may help to create a willingness to participate in future dedicated check-ins.

Success of a dedicated check-in may depend on ensuring elected officials feel comfortable exploring their perspectives on barriers to their collective success without fear of reprisal, so that they can consider new approaches when current patterns of engaging with each other are not working.

In addition to considering external professional facilitation, Councils and Boards may wish to consider undertaking these sessions in the absence of the public, which can help to facilitate the open, honest discussion that will be needed to explore these issues.<sup>5</sup>



<sup>5</sup> If you are discussing these matters in the absence of the public, make sure you don't also move towards making decisions, which you would need to do in an open meeting. See Chapter 6, Resources for useful resources from the Ministry of Municipal Affairs and the B.C. Ombudsperson.

#### **LEADING PRACTICE TIPS**

If you're getting stuck finding ways to work better together, especially if interpersonal dynamics are regularly getting in the way of making decisions, an external professional might be able to help. The combination of professional expertise and independence from the organization provides an opportunity for these professionals to bring new perspectives to the table and suggest approaches that may not have been considered before.

### FOOD FOR THOUGHT

- Is our Council or Board governing well? If we were to get a grade on that, what would it be? What's getting in the way? Do we regularly have discussions about this? Have we made provision for regular check-ins and getting some outside help if we need it?
- What enhancements could be made to our policies or procedures to avoid conduct issues? Do we have specific issues that seem to be evolving that should be a priority (e.g., release of confidential information)? What can we put in place that would resolve these issues (e.g., does everyone understand their legal obligations, are there changes to our information-sharing practices that could help, and is this something the Council/Board should discuss in a check-in)?

"If local governments did less in closed meetings, there would be fewer conduct issues."

(A B.C. local government legal advisor)

- > What kinds of things are causing tension at the Council/Board table (e.g., whether something discussed in a closed meeting should have been in an open meeting; whether or not a member is in a conflict of interest in a particular matter; lack of respect because of such things as different political views, backgrounds, experience, age, gender identity or sexual orientation)? Would training and leadership development help? Is additional information needed, either generally or on a caseby-case basis? Are there tips or tools that could be developed to support members? Is this something the Council/Board should discuss in a check-in?
- As an individual, self-awareness is key. Ask yourself: Am I part of the problem? Am I contributing to dysfunction or to good governance? Do I make assumptions about other Council or Board members without trying to understand their experiences or perspectives? What steps can I take to help the Board or Council work better together? What support do I need to do that? How can I help to ensure our conflict is productive?

**CLICK HERE** for links to resources referenced in this chapter.

### **CHAPTER 3**

## Resolving Conduct Issues Informally

### When and Why to Consider an Informal Approach

Conduct issues can often be managed through prevention and good governance measures.

Unfortunately, there are times where the issues are particularly significant or entrenched, and instead escalate or become more pervasive. In these cases, local governments may wish to consider taking additional steps to address the conduct issue.

Two approaches are available, and they are not mutually exclusive. Informal approaches are aimed at resolving conduct issues, through productive discussion toward mutually satisfactory solutions. Enforcement processes are aimed at determining whether there was a conduct contravention, and deciding on sanctions if a contravention is found.

Informal resolution can lead to better outcomes than enforcement processes because informal resolution tends to be:

- More effective in finding solutions that are satisfactory to all parties;
- Quicker, leaving less time for the problematic conduct to remain unchecked and less time for relationships to erode further;
- Less divisive since parties are brought together to work towards solutions that work for all, helping to rebuild trust and repair relationships (whereas in enforcement processes, parties oppose each other to prove or disprove a contravention); and
- Less legalistic, cumbersome and complex, which can also mean they are considerably less costly.

"I have yet to see an enforcement process where the elected official accepted the findings, so we need to make every effort to manage things before it gets to that."

(A B.C. CAO, mid-sized municipality)

Given these advantages, many local governments are finding that in most circumstances it is well worth pursuing informal approaches to the fullest extent possible to see if they can resolve the conduct issues. In general, they are only considering enforcement processes if those informal resolution efforts are not successful.

However, despite its potential for positive outcomes, informal resolution is not appropriate for all circumstances.

Local governments will want to consider specific circumstances carefully before deciding on a course of action (and seek appropriate legal advice before proceeding). Consider the following examples.

#### When conduct issues impact employees:

Local governments are responsible for the safety of their employees at work. If a complaint relates to matters covered by legislated provisions to address workplace bullying and harassment, the complaint must be dealt with in accordance with the *Workers Compensation Act* and Occupational Health and Safety policies established by WorkSafeBC.

There may also be other laws, local government policies, or employment arrangements that will govern how to respond when an employee indicates they have been subjected to unsafe working conditions or inappropriate behaviour.

### When conduct represents actual or threatened significant or imminent harm to persons, property or the local government:

In these situations, local governments will need to consider how best to preserve safety and security within their community. In addition to legal advice, local governments may need to consult with law enforcement.

"It's important to remember that trust is built around understanding and respect, not necessarily agreement."

(From the Institute for Local Government document Attributes of Exceptional Councils)

### **How to Pursue an Informal Approach**

Informal resolution focuses on involved parties working out their differences to come to a mutually acceptable resolution that restores responsible conduct. Fairness is key, and local governments will want to consider fairness elements appropriate to the circumstances, which may be different than what is appropriate for enforcement (e.g., there may not be a need to provide parties an opportunity to be represented in informal discussions). Fairness supports informal discussions since people will be more willing to work towards solutions if they are being treated fairly. In addition, it is important to ensure that informal resolution does not jeopardize subsequent enforcement processes should they be needed. Providing an appropriate standard of fairness in informal discussions will help to meet that objective.

#### **LEADING PRACTICE TIPS**

Consider fairness training or coaching for all Council or Board members to raise awareness of the need for fair process in everything they do. This can lead to fewer conduct issues in the first place, and support informal resolution discussions if issues do arise, potentially avoiding the need for all parties to default to legal positions in the early stages of those discussions.

Who is involved in these conversations, and how the process unfolds, will depend on the situation and in part, who is willing and able to work through the issues.

The following are some common approaches; local governments should consider their own unique circumstances in deciding what methods to try.

#### When You Demonstrated Poor Conduct

All elected officials are accountable for their conduct and the vast majority are responsible, but lapses do occur: someone snipes in the heat of the moment that their colleague is too young, or too old, or too new to this country to have views on a topic; someone hits send on a social media post when they're still angry; someone picks on a staff member because they don't like a report's recommendations; someone takes a colleague's comment out of context in a way it was never intended. Sometimes, that someone is you.

Many elected officials find themselves in these situations; what distinguishes them is how they deal with them. Owning your part in a misunderstanding or admitting you've made a mistake or acted inappropriately is not a sign of weakness; it is a sign of strength and it is a quality common to exceptional leaders. It's also a way to build trust and respect and to repair relationships – valuable activities in one's quest to serve the community and get things done.

When faced with these situations, consider sitting down with the individual impacted by your conduct. It's a good opportunity to clear the air, to make an apology if that's in order, and to get to know each other's perspectives and experiences. It also allows you both to work through the issue and decide what else is needed to avoid further incidents and to move on.

Depending on how wide the impact, consider whether to have this conversation with the full Council or Board, and/or whether a public apology is appropriate.

"In more than six years as the Ombudsman for British Columbia, I have witnessed, again and again, how one action can make a difference in a small but meaningful way. I have observed that a sincerely offered apology will often satisfy a person who has a complaint... An apology can restore self-respect and dignity. An apology acknowledges that a mistake has been made and that the offending party will not repeat the action in question. It can help re-establish trust and assurance that the offending action was not the person's fault."

(From the BC Ombudsperson special report The Power of an Apology: Removing the Legal Barriers)

#### **LEADING PRACTICE TIPS**

If you're immersed in a conduct issue, try finding a personal sounding board – a confidante with whom you can test how your behaviour stacks up and who can give ideas about how you can resolve the issue. An elected official from another local government can be particularly helpful because they can understand what you're going through and may even have faced something similar, but can offer an impartial perspective because they are not directly involved in your situation.

## When You Are on the Receiving End of Poor Conduct

An elected official impacted by the conduct of a colleague might consider meeting with them if they are willing. This can help to defuse the situation, understand other points of view, discover common ground and jointly problem solve ways to work better together. It is important to avoid accusations, so it may be prudent to prepare for the conversation by considering how best to share perspectives and find mutual interests, and by thinking about what might be needed to set things right.

# Involvement of Another Person in Individual Discussions

Sometimes the two elected officials aren't able to resolve the issues themselves and having a facilitator can help. Choosing the right person depends on the situation. Typical choices include:

- The mayor or chair or their deputy;
- An official who provides advice or support in relation to conduct; or
- An independent third party with experience in dispute resolution.

The choice will depend on the nature and significance of the conduct issue, who has the needed skills, and whether all parties see the facilitator as neutral.

Many local governments avoid involving the CAO or other staff in a Council or Board conflict in this way so that staff are not seen as "taking sides," which may cause considerable damage to elected official and staff relations.

If initial facilitated discussions aren't successful, the local government may wish to consider additional efforts to reach resolution, including negotiation and/or mediation.

## Where an Individual's Conduct Impacts All Members

Sometimes the conduct at issue is not directed towards an individual, but to all or part of the Council or Board. For this, the mayor or chair, or their deputy, could initiate a discussion with the elected official whose conduct is at issue. These discussions are similar to those noted above, and could be aimed at gaining a mutual understanding of the various perspectives, identifying solutions to avoid further incident, and perhaps exploring new ways to work more effectively together. Depending on the nature and significance of the conduct, consider a facilitator for these discussions (e.g., an independent third party).

#### TIPS FOR THESE DISCUSSIONS

Regardless of who initiates or is involved in the conversation, there are a number of elements that can help make the discussions successful, such as:

- Ensure all discussions treat people fairly; be respectful, honest and accountable; be clear about what brought you to the discussion and what you would like to achieve; and give people an opportunity to respond;
- Have the conversation in private, and keep the discussion confidential;
- Try to start from a place of neutrality, aiming to gain an understanding of individual perspectives, intentions and impacts, and reflect on and challenge your own inherent stereotypes, assumptions and perspectives;

- Try not to judge; separate the problem from the person, actively listen, ask questions, seek clarification, and build on your understanding;
- Remain open to views about what you or others could have done differently;
- Seek common ground/mutual interests and use these as a basis for joint problem-solving to find solutions that everyone can accept; and
- Recognize that resolution may take some time and potentially a series of discussions; don't try and do this all at once as people need time to think through issues and discover solutions, and they may need time to work through complex emotions that the discussions reveal.

"Individuals sometimes ignore rules, and toxic personalities sometimes create challenges... difficult personalities on the Council create a challenging and uncomfortable environment for the Council itself... In the end, the Council must manage its own behavior and seek compliance from its own members." (From the Public Management article Preparing Councils for their Work by Julia Novak and John Nalbandian, August 2009, pg. 27)

# Where the Conduct Issues are Systemic or Widespread

Some types of conduct lend themselves to discussions with the full Council or Board and informal resolution would begin there (e.g., certain elected officials are repeatedly interrupted, bullied or belittled by others; conduct is markedly different in closed meetings than in open ones; grandstanding becomes an issue when the public is particularly engaged and vocal at the Council or Board meeting).

In other cases, informal resolution that begins with individual discussions noted above reveals underlying causes that need to be discussed by the full Council or Board, and informal resolution would then move to these more broadly-based discussions.

This presents an opportunity for the Council or Board to engage in continuous improvement with broader discussions about how to work more effectively together.

This could involve processes discussed in Chapter 1, Fostering Responsible Conduct and Chapter 2, Maintaining Good Governance, and it is well-suited to discussion as part of a Council or Board's next check-in.

Full Council/Board discussion is appropriate whenever the conduct or its root causes indicate underlying systemic challenges, because those challenges need to be addressed in order to satisfactorily resolve the conduct issue and to avoid future incidents. Councils and Boards that find a way to identify systemic issues (e.g., preconceptions about things like gender identity, economic status, ability, race or age; lack of a common set of facts on matters discussed; gaps in a shared understanding of conduct expectations), speak about them openly and safely, and jointly develop solutions (e.g., leadership development, enhanced policy alignment) may find that conduct issues can be resolved, unproductive conflict and friction reduced, and more effective trusting working relationships established.

#### **Professional Advice from Staff**

While ultimately it is up to elected officials to restore responsible conduct of their members, senior staff can provide key support to that process. For example, they are well-positioned to:

- Provide advice about approaches to resolve conduct issues, including resolution at an individual level and potential structural, system or policy realignment;
- Provide process and technical support to individual elected officials on informal resolution and/or enforcement processes;
- Provide advice on how to ensure informal resolution processes are fair to all participants and where expert fairness advice may be needed; and
- Provide advice about when to involve a facilitator
  in discussions and the skills that will be important
  to the success of that role, and/or what other
  external support or advice could be considered
  (e.g., legal advice; involvement of law enforcement).

### **FOOD FOR THOUGHT**

- Is there anything in this situation that should prevent it from being considered for an informal resolution process?
- Who is best positioned to initiate a conversation or to facilitate one if needed?
- What support could the local government offer to elected officials who have conduct questions or concerns, or who want to better understand the process to try and deal with issues informally?
- What is being done to support relationshipbuilding? What can be done to ensure all voices are heard? If these were enhanced, might it be easier for elected officials to sort out conduct issues informally? Are there lessons to be learned from this process that could apply more generally to elected officials' relationships, and/or to changes needed in the local government's policies and procedures?
- At an individual level: What triggers a change in my conduct? How can I manage that? What subconscious assumptions might be influencing my conduct? What support do I need to make a change or to sort out a conduct issue with my colleagues?

**CLICK HERE** for links to resources referenced in this chapter.

### **CHAPTER 4**

# Essentials of Code of Conduct Enforcement

### When to Consider Enforcement

In most cases local governments find it is worth exerting considerable effort towards informal resolution, and considering enforcement only if those efforts prove unsuccessful. Conduct is often about relationships, and with the collective governance model of local governments, good working relations are critical to good governance. Informal resolution can help to maintain relationships. Enforcement processes – being lengthy, protracted affairs that sometimes pit colleagues against each other – can serve to erode relationships as well as public trust in the process and the local government.

For this reason, local governments generally find informal resolution more effective, and are more satisfied with its outcomes (see Chapter 3, 'Resolving Conduct Issues Informally' for details). If informal resolution is not attainable, local governments may wish to consider enforcement.

A local government can hold its elected officials accountable for their conduct through an enforcement process articulated within its code of conduct, so long as that process is fair. This chapter focuses on characteristics of these code of conduct enforcement processes, and what to consider in their development, but first, it points to enforcement approaches outside of a code of conduct that may be applicable.



### **Overview of Other Enforcement Approaches**

### **Specific Statutory Processes**

Various federal or provincial laws provide specific accountability or enforcement processes for certain conduct matters, for example:

- Incidents and complaints regarding bullying and harassment of an employee and/or other conduct that affects employees: Local governments are responsible for the safety of their employees at work. If a complaint relates to matters covered by legislated provisions to address workplace bullying and harassment, the complaint must be dealt with in accordance with the Workers Compensation Act and Occupational Health and Safety policies established by WorkSafeBC. There may also be other laws, local government policies or employment arrangements that will govern how to respond when an employee indicates they have been subjected to unsafe working conditions or inappropriate behaviour.
- Application to court for a declaration of disqualification and forfeiture of financial gain for contraventions of conflict of interest and other ethical conduct requirements: The Community Charter, Local Government Act and related legislation provide rules for conflicts of interest, inside influence, outside influence, gifts, contracts and insider information. Contraventions result in disqualifications and may result in forfeiture of any financial gain that resulted. Electors or the local government may apply to the Supreme Court for a declaration of disqualification and for an order to forfeit financial gain.

• Prosecution of an offence: Some contraventions of legal requirements are offences which may, at the discretion of the provincial Crown Counsel, be prosecuted in court, and convictions may result in fines and/or imprisonment (e.g., unauthorized disclosure of personal information under the Freedom of Information and Protection of Privacy Act, and unauthorized disclosure of certain confidential information under the Community Charter, Local Government Act and related statutes).

#### **LEADING PRACTICE TIPS**

This list is not exhaustive. There are numerous other federal or provincial laws that provide enforcement processes (e.g., Court-based prosecutions under the Criminal Code of Canada for contravention of laws related to libel or slander; Human Rights Tribunal determination of discrimination complaints under the BC Human Rights Code). Local governments will want to familiarize themselves with all applicable legislation before initiating a local government enforcement process.

### Local Government Process to Decide on a Specific Alleged Conduct Contravention and Impose Related Sanctions

The courts have found that a local government has an ability to control conduct of its members in some circumstances, and local governments have relied on this to impose sanctions for contraventions on a caseby-case basis.

These case-by-case processes are similar to enforcement processes articulated within a code of conduct: both can result in sanctions; both must be undertaken using a high standard of fairness; and both are complex from a legal perspective.

However, an important distinction between them relates to whether the process is established in advance (as it is for processes articulated within a code of conduct), or whether it is developed each time it is needed (as it is for case-by-case processes).

#### **LEADING PRACTICE TIPS**

Before getting into a situation where misconduct of a Council or Board member becomes an issue, develop a code of conduct to set standards of conduct, and include within the code the process that will be used to deal with alleged contraventions.

An enforcement process articulated within a code of conduct has several advantages over a case-by-case enforcement process, as illustrated in the graphic, and is strongly recommended.

#### ADVANTAGES OF CODE OF CONDUCT ENFORCEMENT

### ENHANCED CERTAINTY AND TRANSPARENCY IN THE PROCESS

- Everyone understands the process by which officials will be held accountable for their conduct
- Improved public confidence

# IMPROVED COMPLIANCE

 Those who are subject to a code may be more likely to comply if there are known consequences for contraventions

# ADMINISTRATIVE EFFICIENCIES

 Once the process is developed, using it for a subsequent contravention allegations will eliminate the need to "reinvent the wheel" each time an allegation is made

# ENHANCED FAIRNESS

- Consistent use of the same process helps to ensure everyone is treated fairly
- Can help to overcome perceptions of bias in decisions about the process itself

# Obtaining Legal, Law Enforcement and Other Advice About Enforcement Processes

Conduct enforcement is a complex and evolving area of law; while this guide is intended to help support local government decision-making in relation to conduct matters, it does not provide legal advice, and it is not a substitute for that advice.

Code of conduct enforcement does not replace other enforcement approaches that may be available or required, such as those described above. As a local government begins to explore what enforcement processes are available for a particular conduct contravention, it may want to consider discussing the matter with their legal advisors and, in some circumstances, with law enforcement or other agencies (e.g., WorkSafe BC for matters in which the conduct affects an employee; Office of the Human Rights Commissioner for matters that may be discriminatory).

Code of conduct enforcement is a complex process and its outcomes can be significant, so it is important for local governments to give considerable thought to how to ensure its process is sound. Articulating an enforcement process within a code of conduct is also a relatively new practice in B.C. and largely untested in the courts, which represents some legal uncertainties. These factors give rise to a critical need to seek legal advice on details of the process as it is being designed and when it is implemented.

This guide should not be used as a template for designing a code enforcement process, because some elements (e.g., what is an appropriate standard of fairness; what would comply with open and closed meeting rules; how to ensure that informal processes do not jeopardize a subsequent enforcement process; what complaints can be dismissed; what sanctions may be imposed) can vary considerably depending on specific circumstances. The considerations and current practice set out in the guide are intended to support a local government's initial thinking about these processes and as a starting point for it to have an informed discussion with its legal advisors about how to design an enforcement process that will meet its unique circumstances and needs.

### **Code of Conduct Enforcement: Overarching Considerations**

### **Ensuring a Fair Process**

Code of conduct enforcement processes have two stages: determining if there has been a contravention (e.g., taking complaints; conducting investigations; making determinations), and if so, making decisions on what, if any, sanctions to impose (e.g., recommendations from investigation and/or a Council/Board decision on sanctions). Fair process in both of these stages is critical.

A local government is obligated to ensure its decision processes are fair, particularly where the decision affects the interests of a specific individual.

Given the significance of these processes to elected officials, local governments need to consider how they can meet a high standard of fairness, including finding ways to ensure throughout the process that:

- The person affected by a decision is able to participate in the process before the decision is made (e.g., is notified of allegations, findings and recommendations and provided all documents and information that will be relied on by decisionmakers, is provided with an opportunity to respond and sufficient time to prepare, and is given an opportunity to be represented by legal counsel at the appropriate stage);
- The decision-makers are open-minded (i.e., they have neither a conflict of interest nor a predetermined bias); and
- The decision is based on relevant evidence and, where applicable, the justification for the decision is given to the person(s) affected by it.

#### **LEADING PRACTICE TIPS**

Build timelines into the various steps of your enforcement process. This will enhance fairness, and can avoid eroding relationships further as the process drags on.

Build an informal resolution component into your code of conduct enforcement process.

Consider carefully managing the extent to which staff are involved in enforcement processes. Given the nature of these processes, critical staff-elected official working relationships can be significantly affected.

Consider specifically referring to legislated confidentiality requirements in your code of conduct, so members know how they will be held accountable for contraventions of those provisions.

# **Ensuring the Investigator has Sufficient Independence, Expertise and Authority**

It can be extremely challenging to ensure the person conducting an investigation is free from bias or the perception of bias when investigating a colleague (i.e., where a Council/Board or one of its committees is investigating the conduct of a Council/Board member) or when there is an employer/employee relationship (e.g., where a CAO is investigating the conduct of a Council or Board member).

In order to remove this perception of bias, improve fairness, and enhance public trust in the process, investigations are most often assigned to an independent third party.

# Balancing Transparency and Confidentiality

Local government legislation provides rules around what must be dealt with in open meetings, and what may or must be dealt with in closed meetings. The Freedom of Information and Protection of Privacy Act provide rights of access to certain records, as well as a requirement to protect personal information. A local government will need to ensure compliance with these laws as it develops and implements its enforcement processes.

Within these legislated parameters, there may be some discretion for local governments to make choices about whether to conduct some parts of the enforcement process in open or not. Where there is sufficient discretion, local governments may wish to consider where confidentiality is needed to support a fair process, where transparency is needed to enhance public confidence in the process, and how to balance these two objectives in each step of the process and overall.

For example, to protect the privacy of the individuals involved and ensure investigations are free from bias, most local governments maintain confidentiality throughout the complaint and investigation processes (e.g., notifying only those involved and requiring them to maintain confidentiality). Once the investigation is complete, and if it finds there was a contravention, the balance can sometimes shift towards transparency by providing for consideration of, and decisions on, investigators' reports and sanctions in an open Council or Board meeting. This is typically because the legislation requires this (i.e., the subject matter does not meet the criteria for discussion in a closed meeting) and/or the local government considers the public interest is best served by making these decisions transparently.

## Matters of Cost, Capacity, Efficiency and Effectiveness

Decisions around process will have an impact on financial and human resource capacity. For example, decisions about who can make a complaint (e.g., elected officials, staff or the public) can significantly affect the volume of complaints and investigations. This will affect resources that will need to be dedicated to the enforcement process, since investigations can be time consuming and require people with highly specialized skills.

These considerations can help to sharpen the focus on various design elements and implementation strategies, not just for enforcement but for all elements of building and restoring responsible conduct. In addition, they may encourage reconsideration of alternative measures (e.g., prevention activities or informal resolution of conduct issues) that may have been previously discarded because of their associated costs (yet may be much less costly – both financially and in relationship impacts – than code of conduct enforcement).



# **Code of Conduct Enforcement: Process Steps, Current Practice and Considerations**

The inclusion of details of how alleged contraventions will be addressed is a recent trend in B.C. local government codes of conduct. Where processes are articulated, they tend to consist of a number of distinct steps, within which there are both some common elements and some variation.

The following tables are snapshots of these provisions taken from a small sample of current B.C. codes. Readers are cautioned that this does not represent the full extent of existing practice, but rather an overview intended to be generally representative of the range of enforcement approaches articulated currently in B.C. codes of conduct.

As noted earlier, including enforcement provisions in codes of conduct is an emerging area still largely untested in the courts.

The examples provided here are not provided as templates but rather as a starting point; each local government needs to consider its own circumstances and seek its own legal advice as it develops its processes and sanctions.

It is critical that local governments exercise a high standard of fairness in these processes. Some jurisdictions choose to articulate this extensively in their code in order to provide clarity and certainty, while others do not articulate this in their code, but instead provide fair process as a matter of practice, allowing some flexibility to adapt to specific circumstances. Do not assume that codes that lack explicit fair process provisions mean that the jurisdiction is not practicing fair process. The choice is not whether or not to provide a fair process, but rather how and where to define it.

### **INITIATION: What triggers the process?**

How is the enforcement process initiated and who can make a complaint?

The process is typically initiated by a complaint, and complaints are allowed from any member of the Council or Board. In some cases, committee members and/or staff may also make a complaint, and in a few cases, complaints are accepted from "any person," which would include all of the above as well as members of the public.

How is the complaint made, and what must it contain?

Typically, the complaint must be in writing, and most require these to be signed and dated by the complainant. There are varying degrees of specificity in the detail to be provided, with some codes saying nothing about this, and others requiring more specifics (e.g., detailed description of the conduct, witnesses and supporting documents).

## To whom is the complaint made?

Most are delivered to the mayor/chair and/or a staff official (e.g. CAO), with provision that if the mayor/chair is involved, delivery is to the acting mayor/chair. In a few cases, delivery is to mayor and Council/chair and Board, and in some cases, complaints go to an investigator if one has been appointed.

- > Fair process/cost and capacity: Fairness would dictate that at a minimum, anyone subject to a code of conduct should be allowed to make a complaint. From a public trust perspective, consideration could be given to allowing complaints from anyone impacted by the conduct (e.g., members of the public who are impacted by the erosion of good governance resulting from the conduct). The volume, and perhaps the complexity, of complaints tends to increase as the number of potential complainants increases, which will have cost and capacity impacts.
- > Fair process: Consider timelines for making a complaint. Existing practice examples: some codes don't explicitly provide a deadline, while others tie a deadline to the breach (e.g., as soon as possible after, or within six months).
- > Fair process: Consider how much detail to require in a complaint. Part of a fair process is enabling the respondent to respond, which would be difficult without sufficient detail as to the allegation. To be clear about process, consider explicitly stating that the respondent is to be provided notice of the allegations and an opportunity to respond before a decision to proceed to an investigation is made, perhaps with some deadlines. Existing practice examples: some codes do not provide this explicitly, while others do and provide deadlines (e.g. must respond within 14 days of notification).
- **Confidentiality/transparency:** Consider measures to ensure confidentiality until an investigation of the allegations is complete.

### INFORMAL RESOLUTION: What informal resolution processes are available?

# When does informal resolution occur and how is it triggered?

Most codes explicitly provide for informal resolution. Some create an informal complaint process, and encourage complainants and respondents to try informal resolution before a formal complaint is made. Some other codes encourage an attempt at informal resolution after a formal complaint has been submitted and before the complaint review process; in these cases, the CAO and/or mayor/chair become involved in that informal resolution step.

## What is the informal resolution process?

Some codes that provide for informal resolution are silent as to the process. However, most others call for the complainant to address the issue directly with the respondent to encourage compliance, and/or to request the assistance of the mayor/chair to attempt to resolve the issue. In one case, a senior staff official could be called on to assist the complainant in that process, and third-party mediation is an option if these steps aren't successful in reaching resolution.

# What are the timelines and fair process provisions?

There is no deadline for informal resolution where it occurs prior to receiving a formal complaint, because the de facto deadline is when a formal complaint is made. Most codes that encourage informal resolution after a formal complaint is made set a 30-day deadline to attempt informal resolution prior to an investigator being appointed. Most do not have specific fair process or transparency/ confidentiality provisions for this informal stage. However, in some cases, there are specific provisions for confidentiality, and where mediation is part of the process, legal or other representation for the complainant and respondent are offered for that part of the process.

- Cost/capacity/efficiency/effectiveness: Local governments may want to consider encouraging informal resolution because that can be less costly and lead to better outcomes than investigation and sanction processes (see Chapter 3, Resolving Conduct Issues Informally).
- > Confidentiality/transparency: Consider measures to keep informal resolution processes confidential.

# APPOINTMENT OF INVESTIGATOR: Who is appointed to investigate and how are appointments made?

Who is the investigator, who makes the appointment, and on what basis?

In the majority of cases, the investigator is an independent third party, typically appointed by either the mayor/chair, the person acting in their place, or jointly by the mayor/chair and CAO. Exceptions include when the code assigns investigator duties to a position (e.g., senior staff official), or when the investigator is defined as the Council/Board or an individual or body appointed by the Council/Board. In cases where a senior staff official is assigned in the code as investigator, the code also provides for that individual to appoint an independent third party to investigate instead of the senior staff official.

## What duties does the investigator perform?

Typically, investigators undertake the complaint review process, investigation and reporting of findings. In at least one case, a senior staff official is responsible for the complaint review process, and the investigator is appointed only after the complaint review process is complete, if needed. In one case, the investigator is assigned a broader range of responsibilities.<sup>6</sup>

# What are the timelines and fair process provisions?

Several jurisdictions require the investigator be appointed within 30 days of receipt of a formal complaint (unless the matter is resolved informally within that time frame). See "Who is the Investigator" above for fair process provisions.

- Fair process/investigator independence, expertise and authority: Choosing an investigator who is free from bias is critical. This would indicate a need to appoint an independent third party, and/or ensure other mechanisms are in place to protect investigator independence. Assigning an investigation to a senior staff position, such as a CAO, is not recommended for most investigations as it would be very difficult to achieve the needed level of independence, and because the investigation could harm the staff-Council/Board relationship, compromising both the ability of the Council/Board to provide good governance and the CAO's ability to effectively perform their duties. Providing for input from the complainant and respondent on the choice of investigator can help ensure all parties agree the investigator is unbiased and qualified; this effect can be enhanced by provisions that refer to the need for investigators to have professional skills/expertise.
- > Confidentiality/transparency: The choice of who appoints the investigator (e.g., Council/Board, mayor/chair and/or CAO) may impact when complaint information becomes public, since Council/Board decisions may need to be made in an open meeting.

<sup>6</sup> City of Surrey Bylaw 20018 creates an Ethics Commissioner position and assigns a number of roles to the position, including providing advice and delivering training. See link in Chapter 6, Resources.

# COMPLAINT-REVIEW PROCESS: How are complaints initially dealt with and by whom?

What is the complaint
review process and who
carries it out?

If informal resolution is not reached, complaints undergo an initial assessment and are either dismissed or proceed to investigation. Almost always, the investigator is responsible for the initial assessment, although in at least one code of conduct, this role is assigned to a senior staff official.

## On what basis can a complaint be dismissed?

Reasons that a complaint may be dismissed are usually provided, but there is some variation on the grounds for dismissal. Many refer to complaints that are frivolous, vexatious and/or not made in good faith. Several also mention complaints that are unfounded, based on insufficient grounds, unlikely to succeed and/or beyond the jurisdiction of the code or other conduct policy.

## What is the process if a complaint is dismissed?

Many do not provide a specific process. Where one is provided, there is a requirement to inform the complainant and, in at least one code of conduct, the Council or Board.

# What are the timelines and fair process provisions?

Codes don't typically set timelines for this step. Some codes provide that the respondent must be notified and given an opportunity to provide an initial response prior to the complaint review process; of these, a few provide deadlines for the initial response (e.g., within 14 days of notification).

- > Cost, capacity, efficiency, effectiveness: Local governments will want to consider some form of complaint-review process, to ensure that investigations aren't required when not warranted by the nature of the complaint.
- **> Fair process:** Both fair process and public trust can be enhanced by being clear about the types of complaints that can be dismissed, while providing some discretion for investigators to make decisions based on their professional judgement and specific circumstance. Local governments may also want to consider whether to provide some deterrents for vexatious complaints (see Other Enforcement-Related Provisions table).
- > Confidentiality/transparency: For complaints that are dismissed, local governments will want to consider how to treat the involved parties fairly when making decisions about whether or not to provide notification about the complaint and the reasons it has been dismissed, and the extent of that notification. For complaints that proceed to investigation, fair process would require notification to both the complainant and respondent, and opportunities for the respondent to respond during the investigation (see the Investigation table below).

### **INVESTIGATION:** How are complaints investigated?

#### What is the purpose of the investigation and how is it conducted?

Investigations tend to be described quite generally (e.g., independent, impartial investigation of complaint; determine the facts, review relevant documents, conduct interviews), which provides considerable room for investigators to use their professional judgement to adapt the investigative process to meet the circumstances. Specific provisions relate to fair process, described below.

#### What are the timelines?

Some codes do not provide timelines. Where they are provided, timelines can refer to when the investigation begins (e.g., within 10 days, or as quickly as possible), when updates are provided (e.g., updates within 90 days after investigator's appointment) and/or when the investigation finishes (e.g., within 30 days, with extensions possible).

## What are the fair process provisions?

Codes typically provide for confidential investigations and require participants to respect that confidentiality. All codes have investigation fair process provisions, that are either general (e.g., investigate in a manner that is fair, timely, confidential and otherwise accords with the principles of due process and natural justice), or more specific (e.g., complainant and respondent are provided notice, and relevant documents, respondents must be given opportunity to respond, and participants may be represented (including legal counsel).

#### **Considerations:**

- **Fair process:** Whether or not specific provisions are included in the code, participants must be afforded fair process. Local governments will need to consider how they will provide key fairness elements, like:
  - How respondents will be able to effectively participate, including how and when they will be provided with
    relevant documents, how and when they can respond (ensuring they are given sufficient time to prepare
    that response); and when are respondents and potentially others given an opportunity to be represented
    and by whom; and
  - How to ensure the decision is based on relevant information (e.g., considerations around things like documentation of evidence, findings and decisions).

In addition, local governments will want to consider how much of this to detail within their code. More detail helps to ensure processes are consistently applied and things don't get missed, but may make the process less flexible and more difficult to adapt to emerging circumstances.

**Confidentiality/transparency:** Considerations typically relate to how to ensure allegations and evidence remain confidential during the investigation process.

# REPORTING FINDINGS: How are investigation findings and recommendations reported and to whom?

## What must be in the investigator's report?

Reports must provide investigation findings. In some cases, there is a specific requirement to include findings as to whether there has been a contravention, and/or recommendations on resolution of the complaint.

# Can sanctions be recommended if there has been a contravention?

There are two approaches: specific authority for the recommendations of sanctions from among a list of potential sanctions in the code; OR no specific mention of the ability to recommend sanctions, even though the code lists potential sanctions.

# Can additional recommendations be made in the report?

A number of codes specifically allow any recommendation an investigator deems appropriate and also specifically provide for a recommendation that the complaint be dismissed.

## To whom is the report delivered?

There are two general approaches, with some slight variation: to the Council/Board, with some also provided to a staff official; OR to the mayor/chair (with provision for the acting mayor/chair if that person is involved) with most also being provided to a staff official.

# What are the timelines and fair process provisions?

There are few timelines for reporting (see Investigation table above for details). In many cases, there are explicit provisions for reports to be provided to both the complainants and respondents. A few state that the report to the mayor/chair is confidential, and in one case, there is explicit provision that if there is insufficient evidence in an investigation, the investigator reports that finding but there is to be no permanent record of the complaint.

- > Fair process: Consider how and when the complainant and respondent are informed of the findings of the investigation. Consider whether different approaches are needed if no contravention has been found as opposed to if the findings indicate a contravention.
- > Confidentiality/transparency: Consider whether the investigator's report is provided confidentially or not. The choice of who receives the investigator's report may impact the extent to which the report is confidential, since if the report is delivered to the Council/Board, this may be in an open meeting. Where reports are not confidential, consider whether some information must be severed to comply with legislated privacy rules. Consider whether different approaches are needed if no contravention has been found as opposed to if the findings indicate a contravention.

# FINAL RESOLUTION: What actions can be taken once findings have been reported and by whom?

If the investigator's report goes to mayor/chair, does it also go to Council or Board? Some codes require the mayor/chair to provide the report, or a summary of it, to the Council/Board, others allow that person to decide whether it should go to the Council/Board, and the remainder do not give direction to the mayor/chair as to whether or not the report should be provided to the Council/Board.

What happens if the investigation finds a contravention?

Some codes state that the decision about whether there was a contravention rests with the Council/Board. Others are less explicit, stating only that the investigator's report must state whether there has been a contravention.

If there was a contravention, who imposes sanctions and what are the parameters around that?

In no case can an investigator impose sanctions. That decision rests with the Council/Board. Codes describe what sanctions may be imposed, and in many cases, a Council/Board can choose from among those provided. In some cases, the only sanctions that can be imposed are some or all of those recommended by the investigator. In at least one case, the Council/Board is directed to consider specified factors (e.g., nature or impact of the conduct).

What are the timelines and fair process provisions?

Some codes do not articulate fair process. Others do, including: notification to the respondent prior to Council/Board consideration, stating that the respondent is entitled to respond and given time to prepare response (e.g., two weeks), stating that the respondent is entitled to be represented, including by legal counsel (some have indemnification; see 'Other Enforcement-related Provisions' table below). Some codes provide for Council/Board consideration in open meetings, while others provide for closed meetings for this.

- > Fair process: Whether or not specific provisions are included in the code, participants must be afforded fair process. Local governments will need to consider how they will provide key fairness elements and how much to detail this within their code. Refer to the fair process discussion in the 'Investigation' table above, which is relevant for this step also. In addition, consider how to ensure an unbiased decision on sanctions. Some local governments find that limiting Council/Board discretion (e.g. may only impose sanctions recommended by investigator, or must consider specific factors) can help to reduce the potential for bias and/or ensure the decision is based on relevant information.
- > Confidentiality/transparency: Consider relevant meeting rules and the nature of the matter. If these matters are dealt with in open meetings, consider whether some personal information should be severed; if dealt with in closed meetings, consider when and how the respondent is informed of decisions, and when and to what extent information is made available to the public (as a void of information can ultimately be filled by misinformation). Consider also whether different approaches are needed if no contravention has been found as opposed to if the findings indicate a contravention.

# OTHER ENFORCEMENT-RELATED PROVISIONS: A sample of other key enforcement provisions that may be included in a code.

What enforcement provisions are there for different groups that are subject to a code?

Many codes apply only to members of the Council/Board; some also include committee members and/or staff. Where these other groups are included, codes tend to modify enforcement provisions (e.g., who deals with complaints and how this is done; what sanctions may be imposed) for each group.

Do codes provide for reimbursement of legal costs for a person involved in an enforcement process? Some codes make provisions for reimbursement of a respondent's legal costs under certain circumstances, and with certain limits (e.g., if the person did not act in a dishonest, grossly negligent or malicious way; for the first occurrence, but not subsequently unless agreed in advance; upon request; only reasonable costs are reimbursed, sometimes with specified dollar limits).

What are the responsibilities of persons subject to the code?

Most codes require that members refrain from discussing allegations at open meetings until after investigations and Council/Board decisions on them.

Some codes require that members endeavour to resolve disputes in good faith, cooperate with informal resolution and/or not obstruct the Council/Board in investigations.

Some also require that members not act or threaten reprisal/retaliation against involved persons (i.e., complainant, respondent, witness, staff). In at least one case, for complaints that are vexatious, malicious or in bad faith, complainants are subject to disciplinary action, including sanctions in the code.

- > When code applies to committee members and/or staff: All processes must be fair, and all will need to consider the confidentiality/transparency balance, but how these are applied is often different for each group. There may also be different legal or contractual requirements that would guide enforcement processes that must be considered (particularly with respect to staff).
- > Reimbursement: Fairness can be enhanced by providing clear policy in the code, rather than dealing with reimbursement of legal costs on a case-by-case basis. In considering the potential to offer reimbursement of legal costs and limitations around that, local governments may wish to consider whether their indemnification policy could inadvertently act as a deterrent to trying to work things out informally.
- > Responsibilities: Local governments may wish to consider whether the fairness and/or effectiveness of their enforcement processes could be enhanced by provisions such as these.

### **Sanctions**

As described in the 'Final Resolution' table above, if the findings of an investigation indicate that there has been a conduct contravention, a Council or Board may consider what, if any, sanctions to impose.

As with other elements of a code of conduct enforcement process, legal advice is recommended as sanctions are being designed and when they are imposed.

#### **Current Practice for Sanctions**

Codes of conduct that provide details of an enforcement process also typically set out a range of sanctions that the Council or Board could impose for contraventions.

Sanctions are stated specifically, generally, or as a combination of these. For example, some codes say that the Council/Board "may impose sanctions" and follow this with a few examples, while others provide a specific list of sanctions, sometimes followed with a general provision for "any other sanction considered appropriate" by the investigator in some cases and the Council/Board in others.

Some codes also provide overarching statements that sanctions may only be imposed if they do not prevent the member from fulfilling their legislated duties of elected office.

## Specific sanctions included in a sampling of B.C. codes of conduct are:

- Request letter of apology
- Mandatory education, training, coaching or counselling
- Suspension/removal from some or all committees or other bodies
- Public censure
- Letter of reprimand or formal warning
- Publication of reprimand or request for apology and member's response
- Suspension or removal as deputy/acting mayor/chair
- Restrictions on representing the local government or attending events or conferences
- Limits on travel/expenses beyond those in corporate policies
- Limiting access to certain local government facilities
- Requirement to return local government property provided for convenience
- Restrictions on how documents are provided to the member
- Reduction in compensation (in accordance with remuneration bylaw)<sup>7</sup>
- · Written pledge promising to comply

Readers are cautioned that this listing merely presents a compilation of sanctions currently included within B.C. local government codes of conduct. They should be considered in the context of evolving law and the legal uncertainty that is discussed above. Given this, legal advice is advised on sanctions as well as other elements of a code of conduct enforcement process.

<sup>7</sup> This sanction is provided for in the District of North Cowichan's code of conduct, and it is specifically linked to its Council remuneration bylaw. See Chapter 6, Resources for link.

### **Considerations When Imposing Sanctions**

- Fair process: Fairness can be enhanced and the
  potential for bias reduced by providing direction to
  the Council or Board about what it must consider
  in making sanction decisions, or limiting Council/
  Board discretion to only imposing some or all of
  the sanctions recommended by the third-party
  investigator.
- Effectiveness: While sanctions can be imposed as a way of distancing the Council or Board from the member's conduct (e.g., public rebuke) or to penalize the member for the contravention (e.g., reduction in remuneration, imposing limits on travel or suspension of committee appointments), local governments may also wish to consider how sanctions may be used to support a return to responsible conduct and to prevent conduct issues in the future. For example, providing coaching, skills building or training can help to avoid conduct issues that stem from a misunderstanding about roles and responsibilities, from cultural assumptions or from frustration with not being able to get one's point across at a meeting. Additionally, restricting how documents are provided to the member can help to prevent a recurrence of a contravention of a duty of confidentiality.
- Legal risk: Sanctions are not specifically mentioned in B.C. local government legislation but local governments have been found by the courts to have the ability to manage conduct; this may include the ability to sanction in cases of the misconduct of a Council or Board member. The edges of that authority in terms of what specific sanctions may be imposed aren't yet clear, but some key questions to think about in imposing sanctions are set out in this graphic. Ensuring that each question can be answered with a "yes" may mean that the legal risk related to the proposed sanction is lower.

Could the sanction fall within the local government's legislated powers?

(e.g. CC/LGA fundamental and included powers; power to rescind appointments.)



(e.g., a suspension or disqualification from office would mean the elected official could not fulfill their duties of office; removal from rotation as acting mayor/chair or from a committee would not have that effect.)

elected official still be capable of

fulfilling their duties of office?

Is the sanction consistent with other policies and procedures of the local government?

(e.g., do policies related to compensation allow for reduced remuneration if an elected official is found to have contravened the code of conduct?)

Were processes to determine the contravention and impose sanctions procedurally fair, with due regard to natural justice?

(e.g. notice, opportunity to be heard, open-minded decision-making, and consideration of relevant facts?)



### How to Improve the Post-sanction Environment

Disqualification is not a sanction that can be imposed by a local government. Consequently, an elected official found to be in contravention of a code of conduct will continue to be a Council or Board member. By the time formal complaints are made, relationships among Council or Board members may be very strained, and the investigation and sanction process will likely further damage these relationships. Finding effective ways to work together will become even more important, and local governments may wish to consider what specific support could be provided to the elected official found to be in contravention, and to the collective to facilitate them working effectively together again. In addition, consideration may be given to whether policy or procedure changes could support a return to responsible conduct. Local governments may also wish to consider whether to give the investigator an ability to make these types of restorative and support recommendations, which could help to move away from a singular focus on sanctions.



#### **FOOD FOR THOUGHT**

- Is informal resolution something that would be suitable for the conduct issue at hand? If so, have we attempted that? If not, why not?
- What enforcement processes and sanctions does our code of conduct include? Are they sufficient?
- Do we have a process in place to review our code of conduct and what it covers? What can we learn from what we have just gone through for any future situations?
- Does our code refer to legislated conduct rules? If so, is it clear about which enforcement processes refer to what code provisions? (e.g., court-based processes for conflict of interest, WorkSafe BC processes for bullying and harassment involving an employee, code of conduct enforcement for all others).
- Have we done everything we can to make sure investigations and decisions are free from bias and administratively fair, and that the entire enforcement process reduces the potential for the process to be used for purely political purposes?
- Are we providing the same standard of fairness to everyone?

"Justice Crawford sounded one important note of caution on the right of an elected council to take action regarding a council member's misconduct. The power to decide whether a council member's conduct falls below the expected standard of conduct must be exercised with great care and discretion:

'Far too easily, this could turn into an abuse of process for cheap political gain, and any council that sets out in this direction must be careful in what it is doing."

(From the Young Anderson paper Controlling Councillor Conduct)

**CLICK HERE** for links to resources referenced in this chapter.

## **CHAPTER 5**

# Conclusion

## Forging the Path to Responsible Conduct

Local governments are finding that putting sustained effort towards fostering responsible conduct and resolving conduct issues informally is an effective way to avoid lengthy, divisive enforcement processes, and is also necessary to sustain and maintain good governance.

Key success factors include:

- Initiating discussions towards adoption of a code of conduct before conduct issues emerge;
- Adopting a code of conduct, including details of the enforcement process to be used to address alleged contraventions of the code and the range of sanctions that may be imposed by the Council or Board if a contravention is determined;
- Building supporting structures, including policy alignment, and supporting elected official leadership and skills development;
- Finding ways to work effectively together and to build trustful, respectful working relationships, through such means as regular Council or Board check-ins; and
- Not allowing conduct issues to fester, but rather taking steps to resolve them informally early on and identify and address their underlying causes (e.g., preconceptions, mistrust, misinformation) in order to avoid future conduct issues.

"The time to adopt a code of conduct is not when you're in the middle of a crisis – it's when things are going well, and when it can be aspirational."

(A B.C. regional district CAO)

When enforcement processes are needed, local governments are well served by having articulated their process within their code of conduct in advance. Key factors to consider include ensuring a high standard of fairness throughout the process (e.g., the person affected by the decision is able to participate in the process before the decision is made, the decisionmaker is open-minded, and the decision is based on relevant information).

Subsequent to enforcement processes, local government have found a need to take a renewed interest in improving working relationships among the Council or Board that tend to have further eroded during the enforcement process. Efforts towards continuous improvement in fostering responsible conduct and maintaining good governance are helpful – in particular, rebuilding respectful and trustful relationships.

### **CHAPTER 6**

## Resources

### Click the name of the resource in dark blue to link to the website.

Please note: the following links were up-to-date at time of publication. If the links do not work, most of these resources can be found by conducting a web search using the name and organization listed below.

### **Chapter 1: Fostering Responsible Conduct**

#### **Featured Resources**

- · Working Group on Responsible Conduct materials:
  - Foundational Principles of Responsible Conduct for BC Local Governments describes key principles to guide elected officials' conduct.
- Getting Started on a Model Code of Conduct for Your Council/Board: Model Code of Conduct and its
   Companion Guide provide a model code that local governments can modify to meet their needs, and describes things to think about when developing a code; the Companion Guide provides links to numerous resources, including several B.C. local government codes of conduct.
- The Ministry of Municipal Affairs and Local Government Management Association publication
   Procedure Bylaw Guide: For B.C.'s Local Governments explains legislative requirements, provides best practices, and sets out questions to consider in developing procedure bylaw amendments.
- Other local government resources:
  - · Oath of office: City of Kelowna
  - · Social media policies: District of Saanich Code of Conduct, s.6
  - Information-sharing practices: District of North Vancouver policy Staff Handling of Individual Council Member
     Requests for Information (see Corporate Administration tab)
  - Conduct expectations for the public: District of North Cowichan Public Input and Meeting Conduct Policy and Respectful Places Bylaw
  - Checklists and educational tools: District of Sparwood Code of Conduct Quick Reference Guide to Accepting and Disclosing Gifts

### Click the name of the resource in dark blue to link to the website.

#### **Other Resources**

- B.C. Human Rights Code
- · Local Government Leadership Academy website
- · Local Government Management Association resources webpage
- Institute for Local Government (California) publications:
  - Developing a Local Agency Ethics Code: A Process-oriented Guide
  - · Ethics Code Menu/Worksheet
- Province of B.C. video Roles and Responsibilities of a Locally Elected Official
- Province of B.C. video Characteristics of Effective Locally Elected Officials

### **Chapter 2: Maintaining Good Governance**

#### **Featured Resources**

- Enhancing Collaboration in British Columbia's Regional Districts (2014, by Jennie Aitken of the University of Victoria in collaboration with the Ministry of Community, Sport & Cultural Development, Union of B.C. Municipalities and LGMA) is a research study with findings that show what can support collaboration, and it provides a number of recommendations in relation to this; a checklist summarizes these recommendations.
- Local Government External Resource Database provides areas of speciality and contact information for
  professionals who work with local governments on governance and other critical issues.
- The Province of B.C.'s short videos What Contributes to Effective Local Government Decision-making and Roles and Responsibilities of a Locally Elected Official focus on key elements related to effective governance.
- Sample customizable self-evaluation checklists for Councils and Boards.
- B.C. Ombudsperson Complaint Handling Guide and First Nations Health Authority provide information on treating
  people with dignity and respect and building cultural humility.

#### **Other Resources**

- Ministry of Municipal Affairs webpage Local Government Open Meeting Rules
- B.C. Ombudsperson special report Open Meetings: Best Practices Guide for Local Governments
- Candice Martin presentation on Prezi.com Unproductive Conflict vs. Productive Conflict
- Institute for Local Government (California) publications:
  - · Leadership & Governance: Tips for Success
  - Tips for Promoting Civility in Public Meetings
  - · Understanding the Role of the Chair
  - Working Together to Achieve Ones' Goals
  - · Dealing with Bumps in the Road

#### Click the name of the resource in dark blue to link to the website.

### **Chapter 3: Resolving Conduct Issues Informally**

#### **Featured Resources**

- · B.C. Ombudsperson report The Power of an Apology: Removing the Legal Barriers and Quick Tips on Apologies
- Public Management article Preparing Councils for their Work, Julia Novak and John Nalbandian (August 2009, pg. 27)
- Local Government External Resource Database provides areas of speciality and contact information for
  professionals who work with local governments on governance and other critical issues.
- Institute for Local Government (California) publication *Dealing with Bumps in the Road* provides strategies for
  dealing with elected official and staff relationship challenges, which may also be useful when taking informal steps
  to resolve conduct issues among elected officials informally.

#### Resources

- Getting to Yes: Negotiating an Agreement Without Giving In; Roger Fisher and William Ury, with Bruce Patton, Editor
- · Institute for Local Government (California) publication: Attributes of Exceptional Councils

### **Chapter 4: Essentials of Code of Conduct Enforcement**

#### **Featured Resources**

- Getting Started on a Model Code of Conduct for Your Council/Board: Model Code of Conduct and its
   Companion Guide of particular interest to enforcement are links to several B.C. local government codes of
   conduct, many of which articulate enforcement provisions, located within the Companion Guide.
- City of Surrey Bylaw 20018 creates an Ethics Commissioner position and assigns a number of roles to the position, including providing advice and delivering training.
- District of North Cowichan's code of conduct provides for a sanction to reduce remuneration, noted in its Council remuneration bylaw.

#### Other Resources

- B.C. Ombudsperson resources Fairness in Practice Guide, along with Fairness by Design and Quick Tips: Essentials of Procedural Fairness
- Young Anderson report *Controlling Councillor Conduct* by Barry Williamson, 2013.
- · B.C. Ministry of Municipal Affairs webpage Ethical Standards for Locally Elected Officials
- · Union of British Columbia Municipalities fact sheet Conflict of Interest
- WorkSafe BC's bullying and harassment resource toolkit along with A Handbook on Addressing Workplace Bullying and Harassment
- B.C.'s Office of the Human Rights Commissioner and B.C. Human Rights Tribunal websites provide links to information and resources about the Human Rights Code, prohibited discrimination and how to file a complaint with the Human Rights Tribunal.

# WORKING GROUP ON RESPONSIBLE CONDUCT

The Working Group on Responsible Conduct is a joint initiative between the Union of BC Municipalities, the Local Government Management Association of British Columbia, and the B.C. Ministry of Municipal Affairs. The group was formed to undertake collaborative research and policy work around issues of responsible conduct of local government elected officials.





